

Characterization of relations accepted by two-way transducers

Bruno Guillon^{1,2}

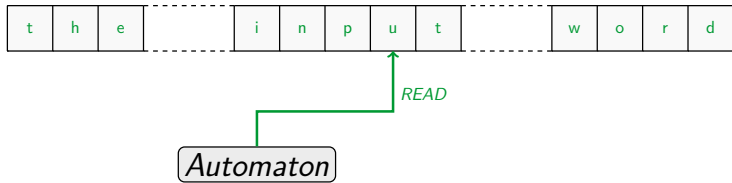
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February 12. 2016
Séminaire Automate

1-way automaton over Σ

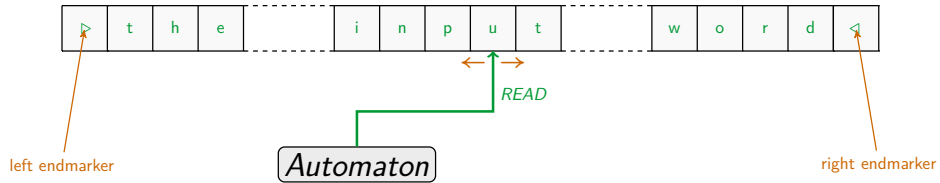
(Q, q_-, F, δ) \xleftarrow{A}
transition set: $Q \times \Sigma \times Q$



2-way automaton over Σ

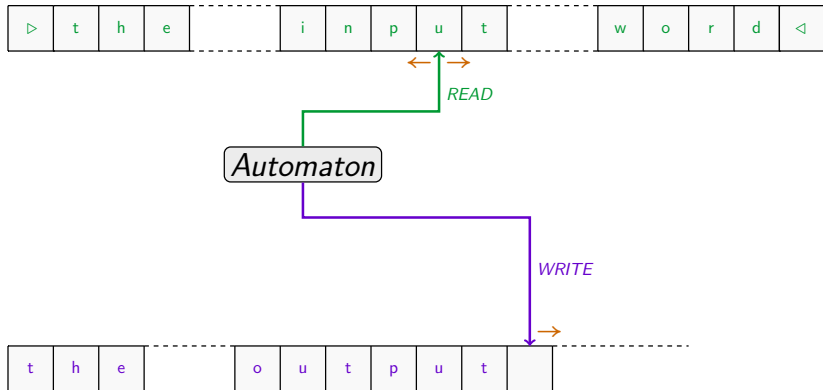
$$(Q, q, F, \delta) \xleftarrow{A}$$

transition set: $Q \times \Sigma_{\triangleright, \triangleleft} \times \{-1, 0, 1\} \times Q$

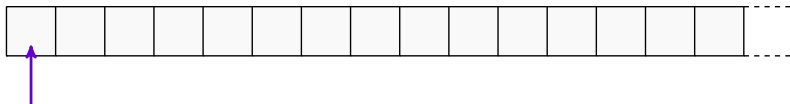
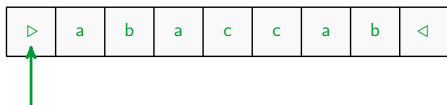


2-way transducer over Σ, Γ

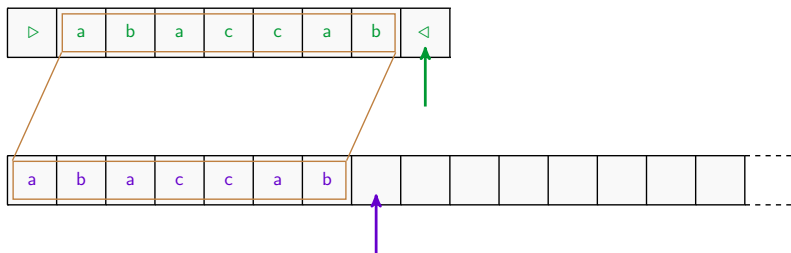
(Q, q_-, F, δ) (A, ϕ) production function: $\delta \rightarrow \text{RAT}(\Gamma^*)$
transition set: $Q \times \Sigma_{\triangleright, \triangleleft} \times \{-1, 0, 1\} \times Q$



A simple example: $\text{SQUARE} = \{(w, ww) \mid w \in \Sigma^*\}$

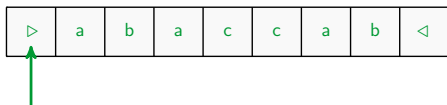


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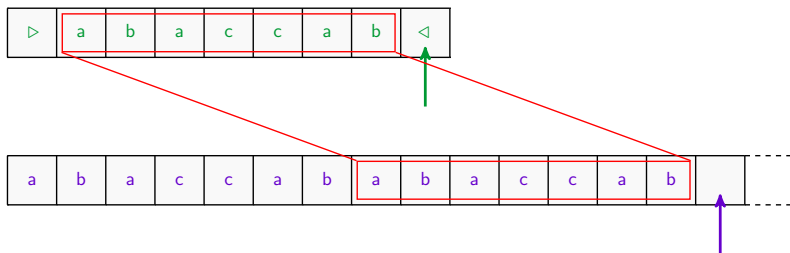
- ▶ copy the input word

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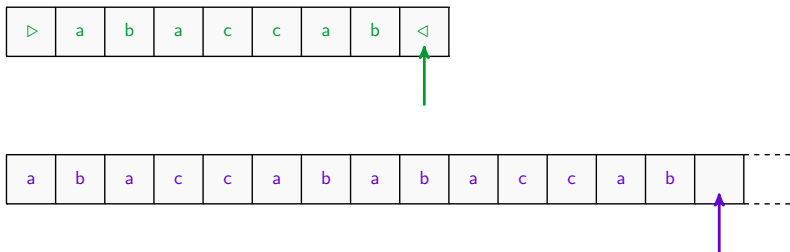
- ▶ copy the input word
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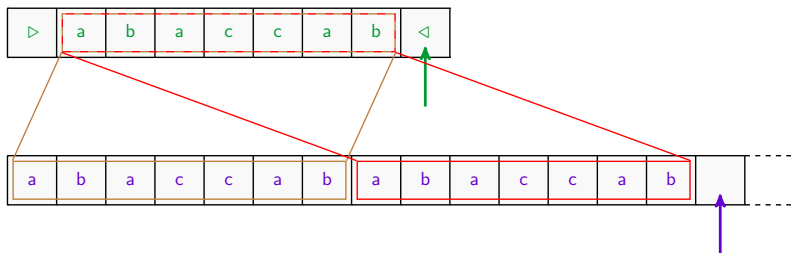
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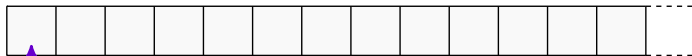
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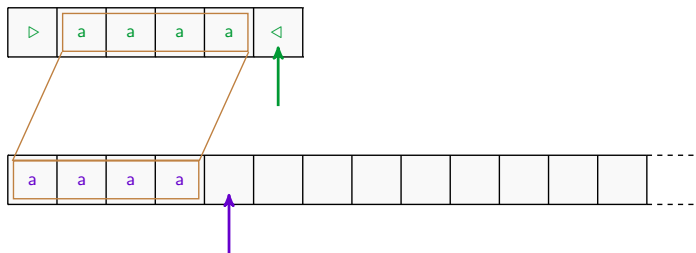


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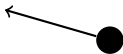
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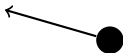
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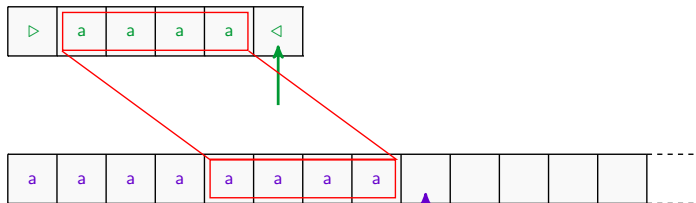
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copy the input word \longrightarrow rewind the input tape



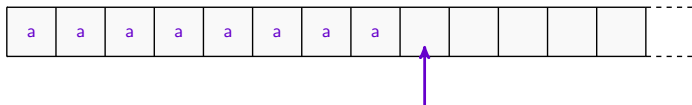
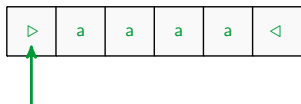
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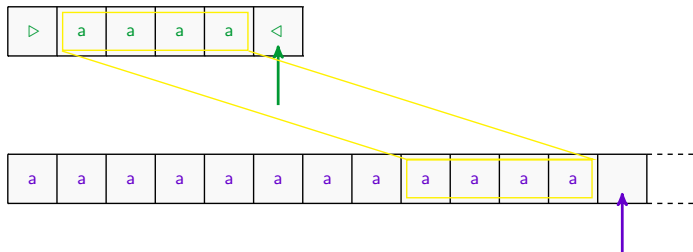
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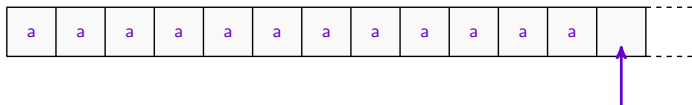
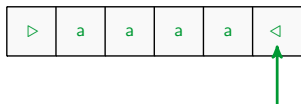
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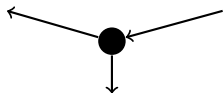
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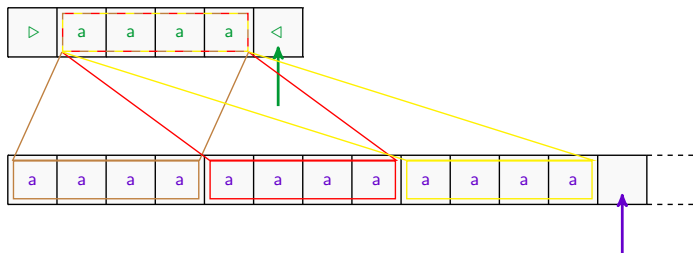


copy the input word \longrightarrow rewind the input tape

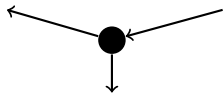


accept and halt with nondeterminism

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accept and halt with nondeterminism

Rational operations

- ▶ Union

$$R_1 \cup R_2$$

- ▶ Componentwise concatenation

$$R_1 \cdot R_2 = \{(u_1 u_2, v_1 v_2) \mid (u_1, v_1) \in R_1 \text{ and } (u_2, v_2) \in R_2\}$$

- ▶ Kleene star

$$R^* = \{(u_1 u_2 \cdots u_k, v_1 v_2 \cdots v_k) \mid \forall i (u_i, v_i) \in R\}$$

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Definition ($Rat(\Sigma^* \times \Gamma^*)$)

The class of **rational relations** is the smallest class:

- ▶ contains finite relations
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Theorem (Elgot, Mezei - 1965)

1-way transducers = the class of rational relations.

Hadamard operations

- ▶ Union

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- ▶ H-product

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Hadamard operations

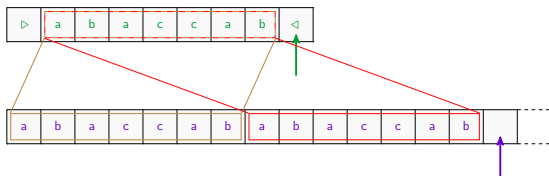
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Example: $\text{SQUARE} = \{(w, ww) \mid w \in \Sigma^*\} = \text{ID} \textcircled{H} \text{ID}$



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$$R_1 \oplus R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

- ▶ H-star

$$R^{H^*} = \{(u, v_1 v_2 \cdots v_k) \mid \forall i (u, v_i) \in R\}$$

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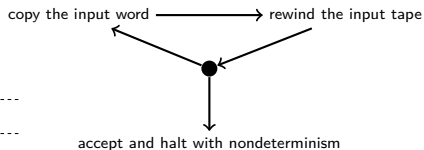
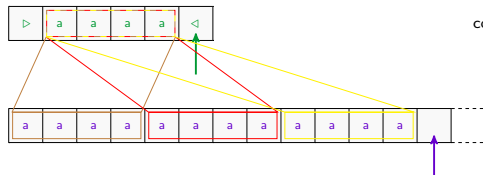
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Example: $\text{UMULT} = \{(a^n, a^{kn}) \mid k, n \in \mathbb{N}\} = \text{UID}^{\text{H}^*}$



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Definition ($\text{HAD}(\Sigma^* \times \Gamma^*)$)

The class of **Hadamard relations** is the smallest class:

- ▶ contains rational relations
- ▶ closed under Hadamard operations

Hadamard relations

Proposition

two-way transducers are *closed* under *H-operations*.

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Proof

- ▶ $R_1 \cup R_2$:
 - ▶ simulate T_1 or T_2

Hadamard relations

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Proof

- ▶ $R_1 \cup R_2$:
 - ▶ *simulate T_1 or T_2*
- ▶ $R_1 \oplus R_2$:
 - ▶ *simulate T_1*
 - ▶ *rewind the input tape*
 - ▶ *simulate T_2*

Hadamard relations

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- ▶ $R_1 \oplus R_2$:
 - ▶ simulate T_1
 - ▶ rewind the input tape
 - ▶ simulate T_2
- ▶ R^{H^*} :
 - ▶ repeat an arbitrary number of times:
 - ▶ simulate T
 - ▶ rewind the input tape
 - ▶ reach the right endmarker and accept

Hadamard relations

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two-way transducers are *closed* under *H-operations*.

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HAD = *rotating*

Hadamard relations

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$\text{HAD} = \text{rotating} \subseteq \text{two-way}$

Hadamard relations

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two-way transducers are closed under H-operations.

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Rat $\not\subseteq$ HAD = rotating \subseteq two-way

Example

$$\text{UMULT} = \{(a^n, a^{kn}) \mid k, n \in \mathbb{N}\} = \{(a^n, a^n) \mid n \in \mathbb{N}\}^{\text{H}^*} = \text{UID}^{\text{H}^*}$$

Main result

Theorem (Elgot, Mezei - 1965)

1-way transducers = *the class of rational relations*.

Main result

Theorem (*This talk*)

When $\Sigma = \{a\}$ and $\Gamma = \{a\}$:

2-way transducers = the class of *HAD relations* ;

Main result

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Theorem *This talk*

With $\Sigma = \{a, \#\}$:

HAD (φ) *two-way*

With $\Gamma = \{a, b\}$:

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Known results on 2-way transducers

- ▶ functional = deterministic = MSO definable functions
- ▶ general incomparable MSO definable relations

[Engelfriet, Hoogeboom - 2001]

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- ▶ functional = deterministic = MSO definable functions
- ▶ general incomparable MSO definable relations
[Engelfriet, Hoogeboom - 2001]
- ▶ 1-way simulation of 2-way functional transducer:
decidable and constructible [Filiot et al. - 2013]

Known results on 2-way transducers with unary output

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- ▶ tropical = 1-way [Carnino, Lombardy - 2014]

▶ production function $\Phi : \delta \rightarrow \{a^n a^* \mid n \in \mathbb{N}\} \cup \emptyset$

rational of period 1

$$\Sigma = \{a\} \quad \text{and} \quad \Gamma = \{a\}$$

From 2-way transducers to HAD (unary case) [1]

Theorem

When $\Sigma = \{a\}$ and $\Gamma = \{a\}$:

HAD = two-way transducers

Proof

- ▶ \subseteq : *done*.
- ▶ \supseteq : **to do**.

From 2-way transducers to HAD (unary case) [1]

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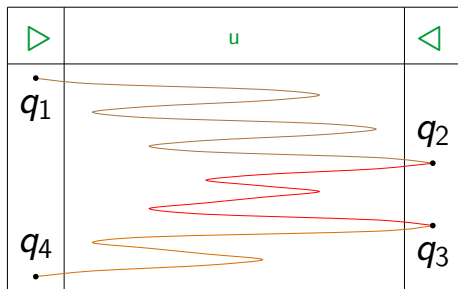
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We fix a transducer \mathcal{T} .

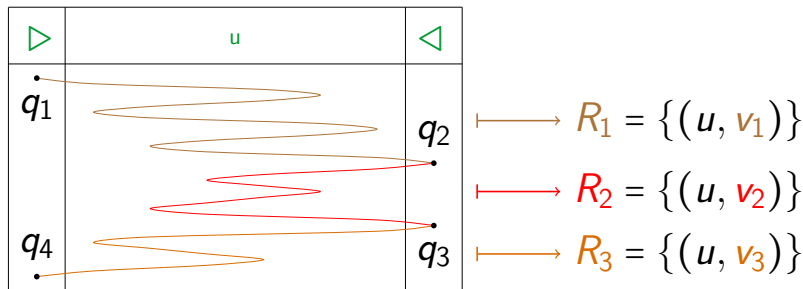
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- ▶ Consider border to border run segments;



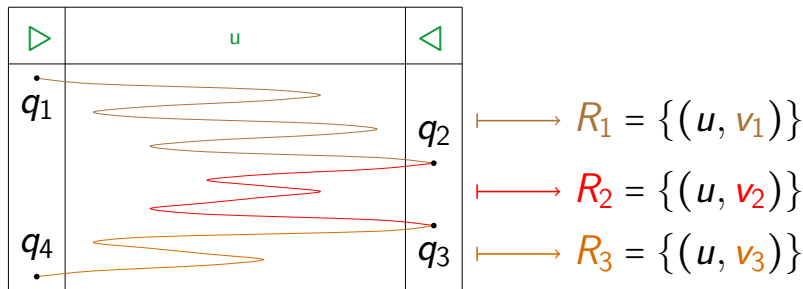
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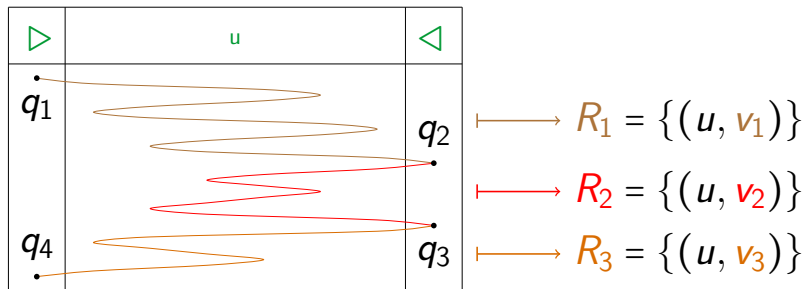
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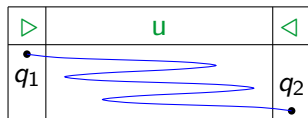
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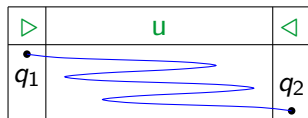
$$R_1 \oplus R_2 \oplus R_3 = \{(u, v_1 v_2 v_3)\}$$

From 2-way transducers to HAD (unary case) [3]

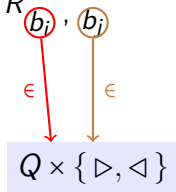


define a relation R_{b_i, b_j}

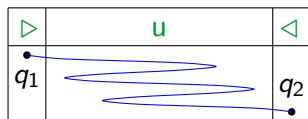
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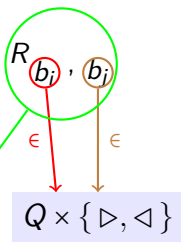
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From 2-way transducers to HAD (unary case) [3]



define a relation R



$$\text{HIT} = \begin{pmatrix} R_{0,0} & R_{0,1} & \cdot & \cdot & \cdot & R_{0,k} \\ R_{1,0} & R_{1,1} & \cdot & \cdot & \cdot & R_{1,k} \\ \cdot & & & & & \cdot \\ \cdot & & & & & \cdot \\ R_{k,0} & R_{k,1} & \cdot & \cdot & \cdot & R_{k,k} \end{pmatrix}$$

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From 2-way transducers to HAD (unary case) [4]

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$\langle \text{HAD}, \cup, \oplus, \text{H}^* \rangle$ is a Conway semiring.

Look at the successive power of the matrix HIT: HIT^k

... that is, the compositions of k border to border runs...

From 2-way transducers to HAD (unary case) [4]

$\langle \text{HAD}, \cup, \oplus, \mathbf{H}^* \rangle$ is a Conway semiring.

Look at the star of the matrix HIT: $\text{HIT}^{\mathbf{H}^*}$

... that is, the behavior of \mathcal{T} .

Remark

The relation accepted by \mathcal{T} is a union of entries of $\text{HIT}^{\mathbf{H}^}$.*

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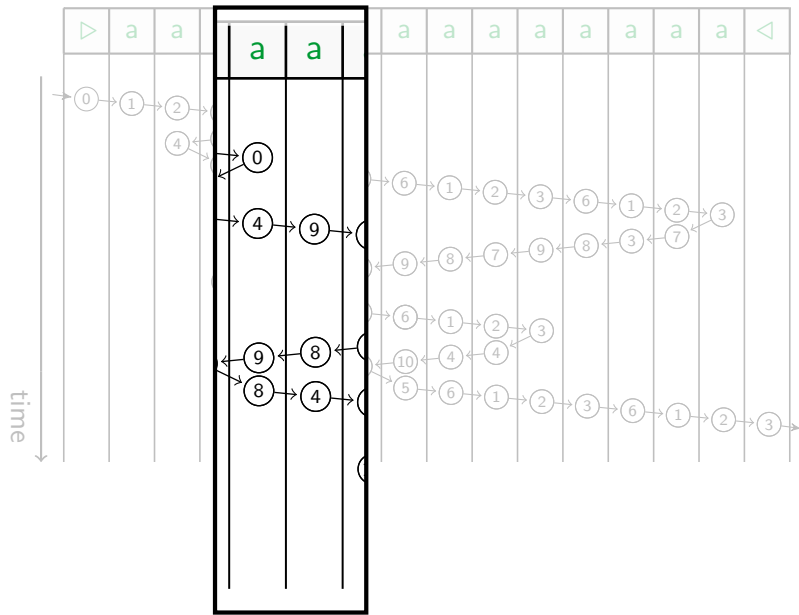
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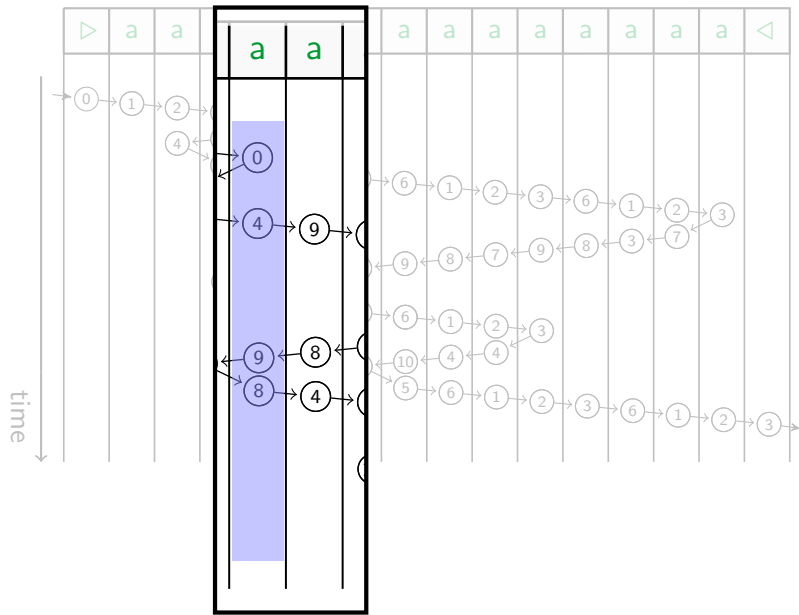
The relation accepted by \mathcal{T} is a union of entries of HIT^{H^} .*

entries of $\text{HIT} \in \text{HAD} \implies$ entries of $\text{HIT}^{\text{H}^*} \in \text{HAD}$

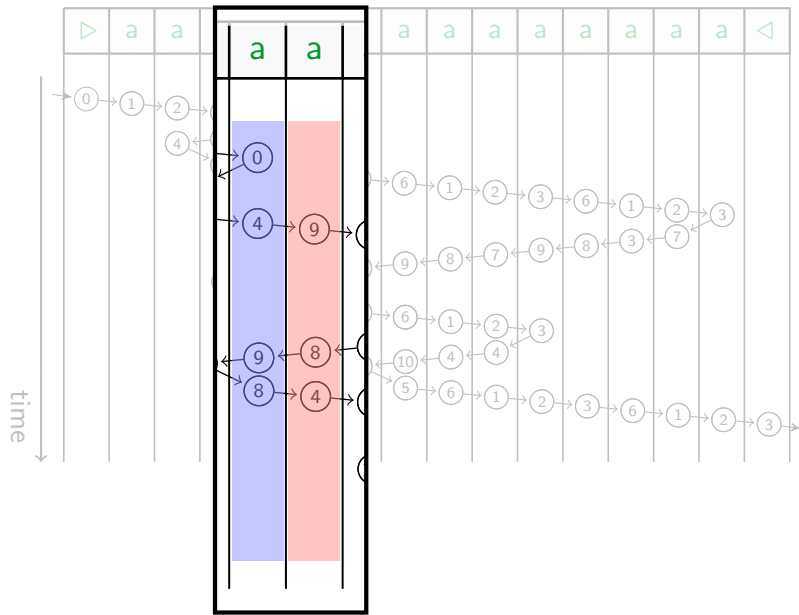
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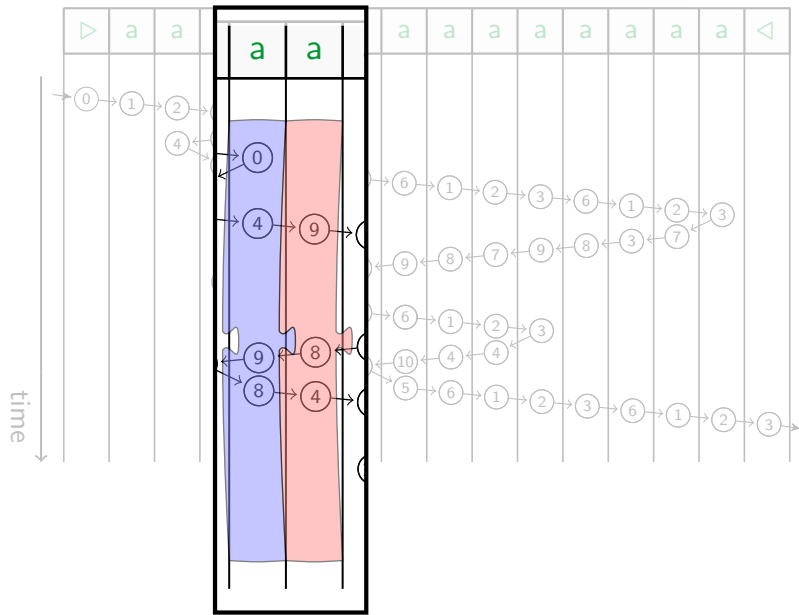
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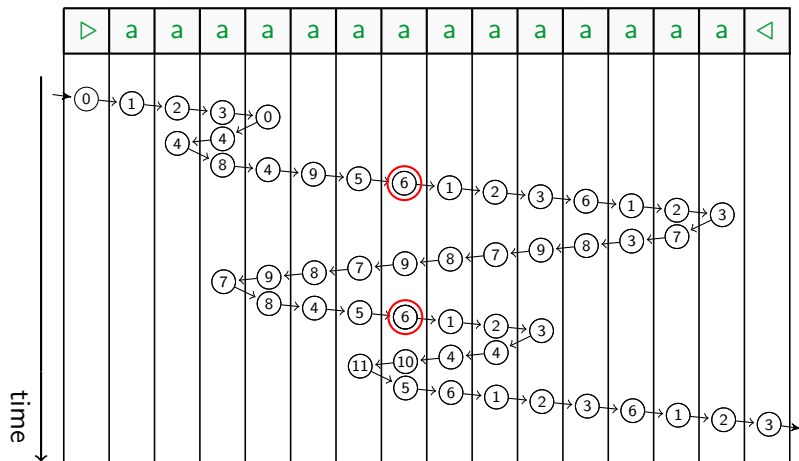
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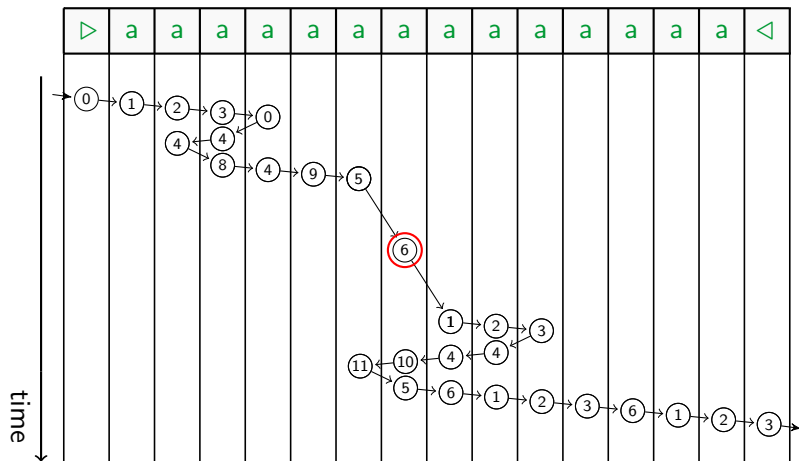
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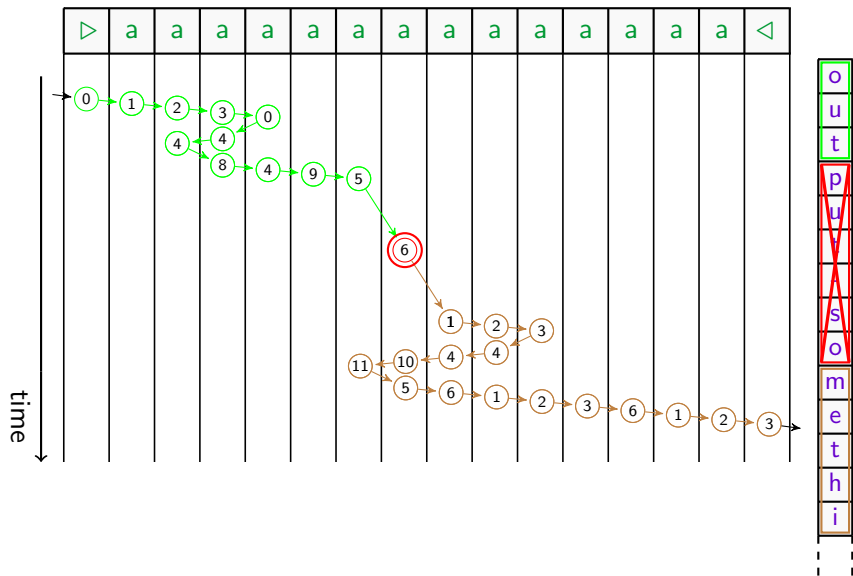
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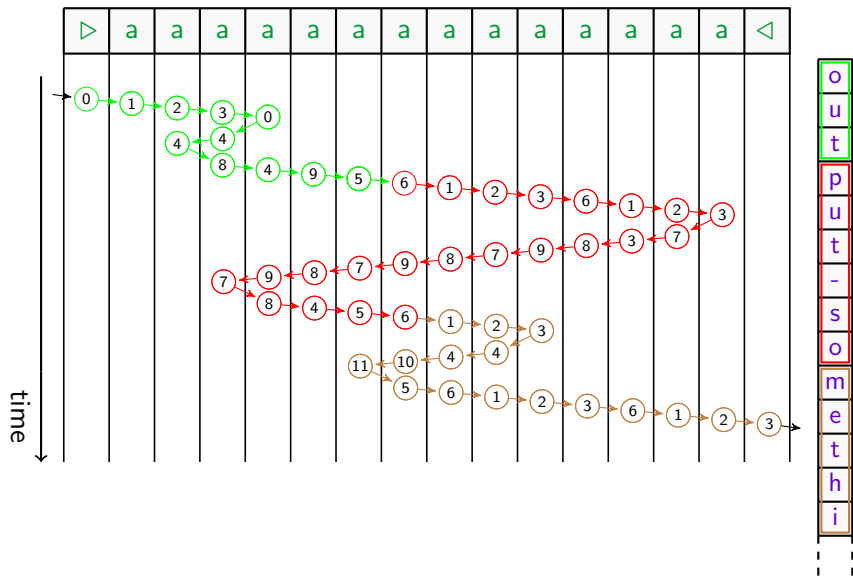
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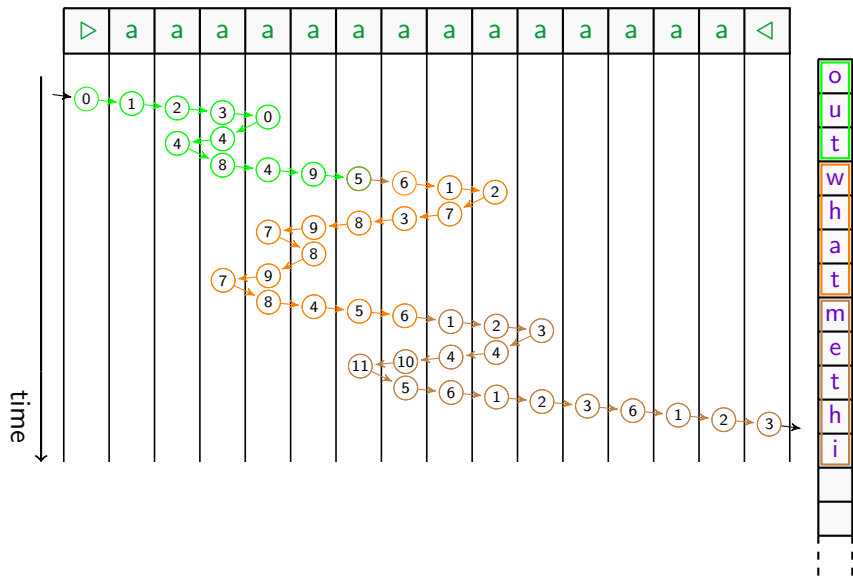
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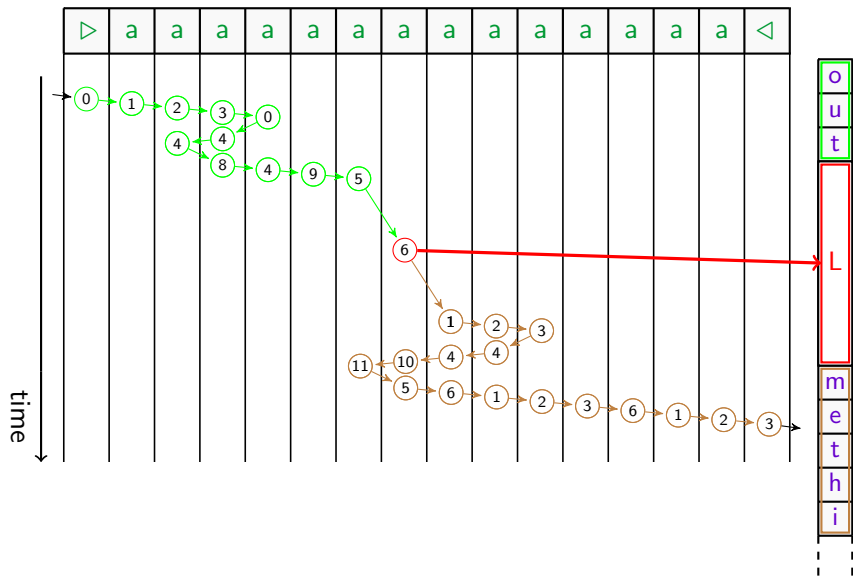
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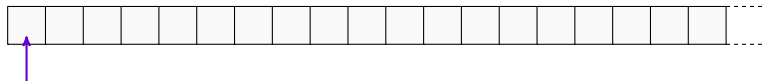
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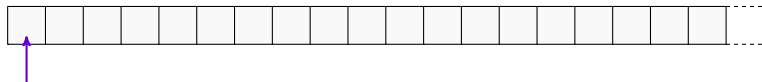
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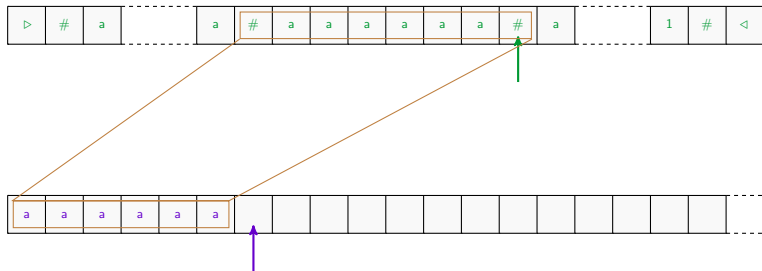
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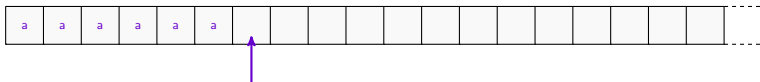
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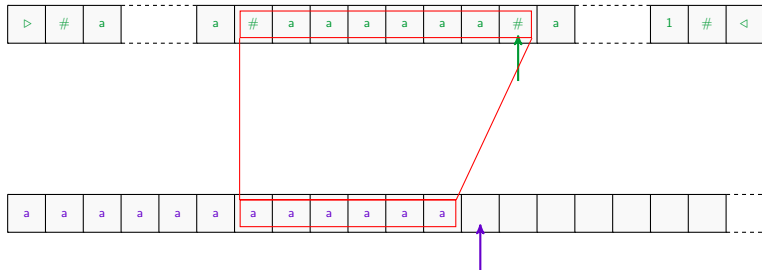
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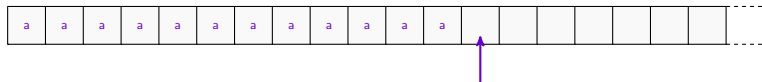
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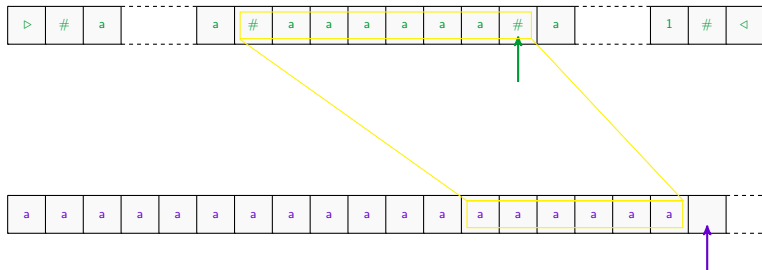
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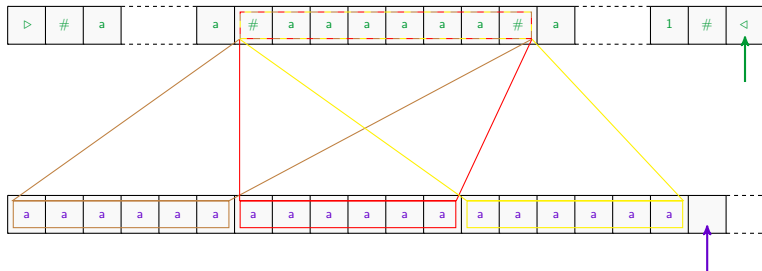
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Sweeping weakens two-way transducers

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- ▶ Prove that the previous relation does not satisfy the property

Revisiting the family $Rat(a^*)$

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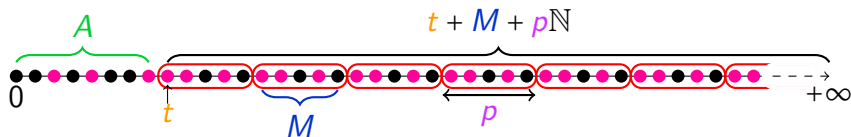
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$$L = A \cup (t + M + p\mathbb{N})$$

where: $t, p \in \mathbb{N}$, $A \subseteq \llbracket 0, t \llbracket$ and $M \subseteq \llbracket 0, p \llbracket$

- ▶ t is a *threshold* for L
- ▶ p is a *period* for L

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$R \subseteq \Sigma^* \times \Gamma^*$. The image of $u \in \Sigma^*$ is:

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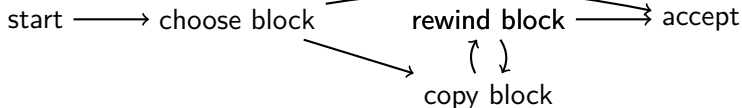
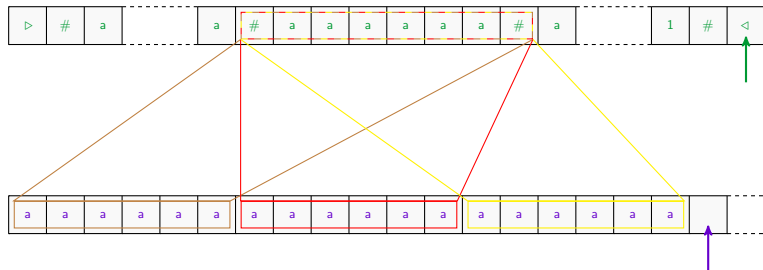
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the period is super-polynomial in $|u|$

$$\Sigma = \{a\} \quad \text{and} \quad \Gamma = \{a, b\}$$

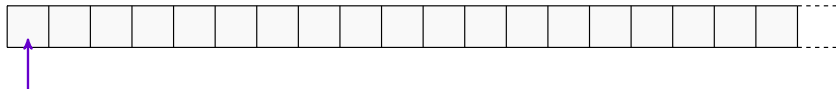
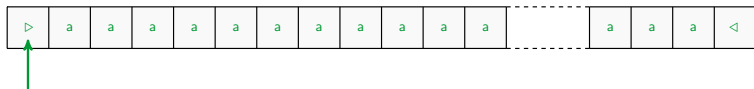
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HAD \subseteq two-way

Example

$$R = \{(a^n, a^p b^p) \mid n \in \mathbb{N}, 0 \leq p < n\}$$



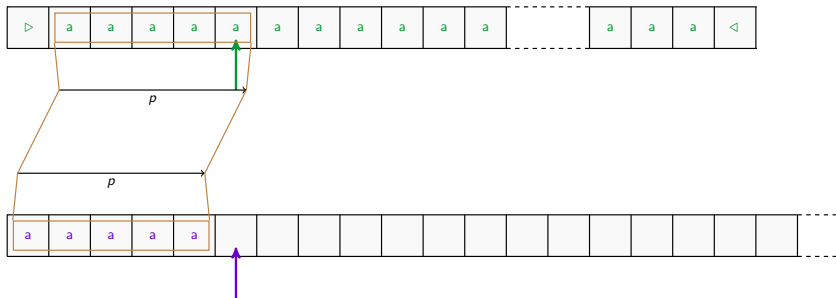
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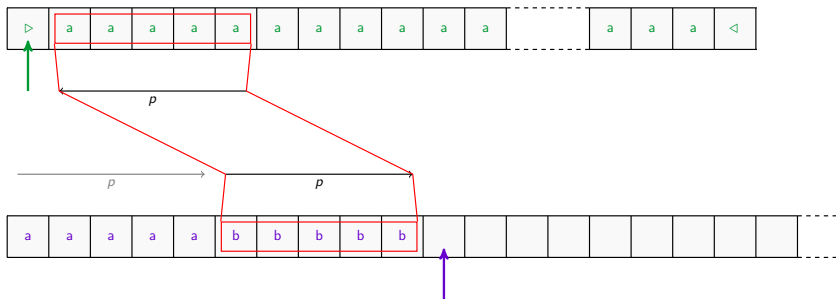
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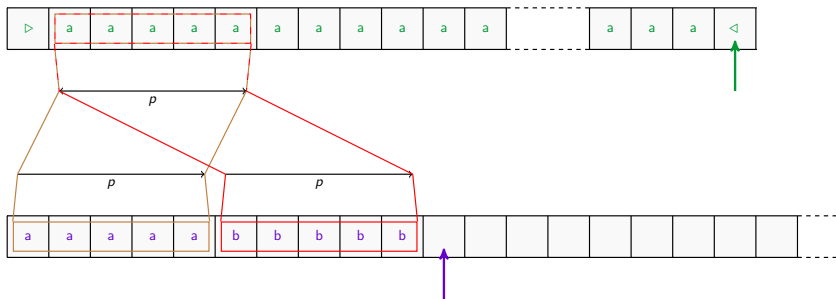
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general			MHAD	
input unary	RAT	HAD		MHAD
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Conclusion

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everything is effective. . .

Conclusion

Deterministic (= functional) case

transducer	one-way	rotating	sweeping	two-way	
general	[Yellow shaded area]	[Orange shaded area]	MHAD	MHAD	
input unary		HAD			
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Thank you for your attention.

Appendix 1

On the optimality of:

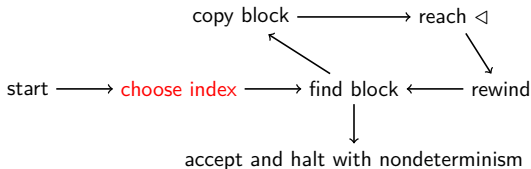
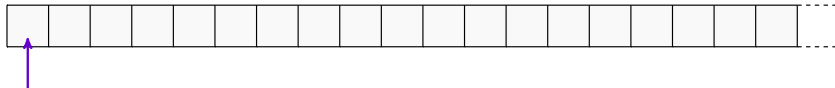
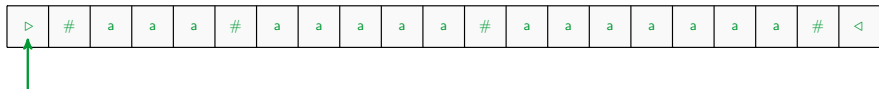
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Example of Hadamard relation with polynomial period

$$\Sigma = \{\#, a\} \text{ and } \Gamma = \{a\}$$

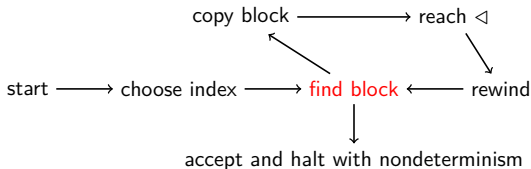
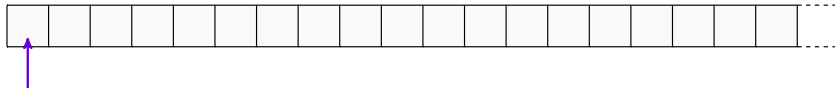
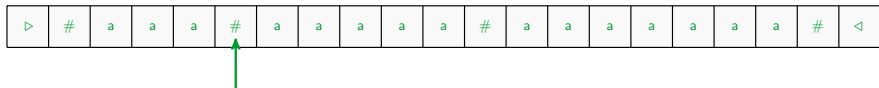
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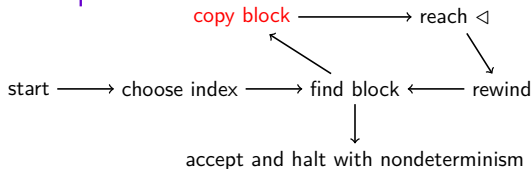
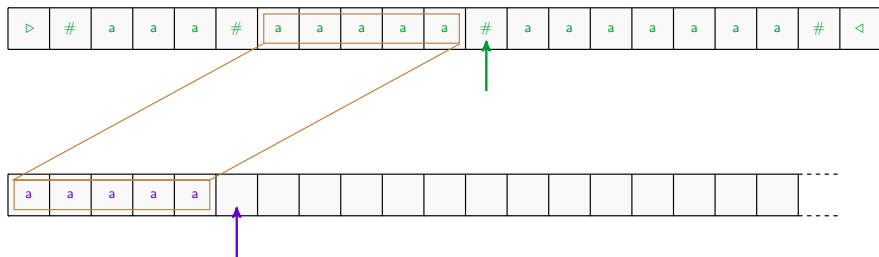
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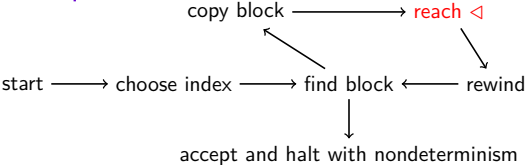
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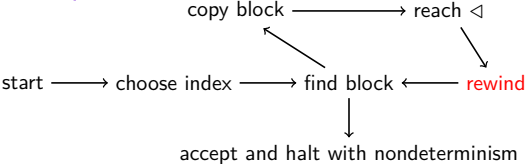
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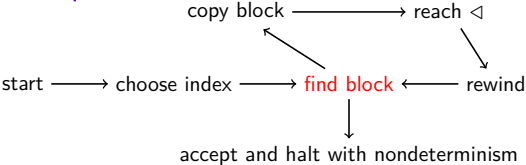
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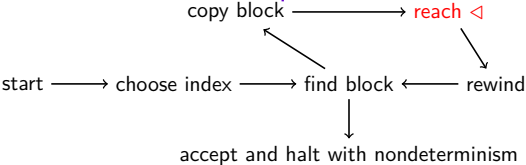
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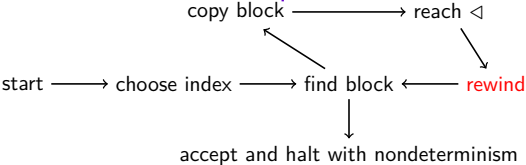
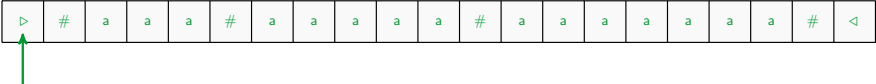
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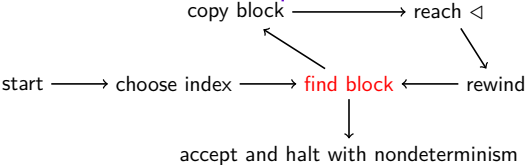
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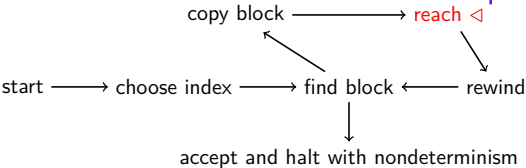
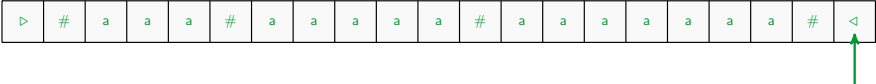
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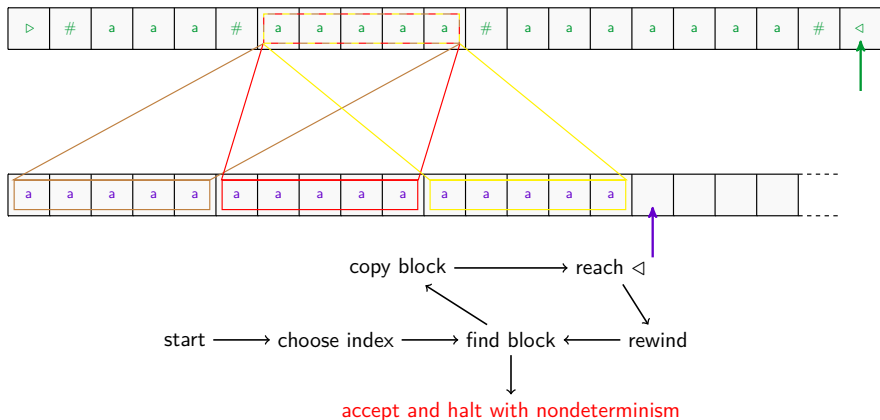
$$R_r = \{(\#a^{k_1}\#a^{k_2}\#\dots\#a^{k_r}\#, a^{k_i n}) \mid n \in \mathbb{N}\}$$



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Appendix 2

On central loops when $\Sigma = \{a\}$ and $\Gamma = \{a\}$

Center loops when $\Sigma = \{a\}$ and $\Gamma = \{a\}$

We fix $q \in \mathbb{Q}$.

- ▶ Consider the language :

$$L_q^\infty = \{ \phi(\mathbf{r}) \mid \mathbf{r} \text{ is a } q\text{-central loop over some input } u \}$$

Center loops when $\Sigma = \{a\}$ and $\Gamma = \{a\}$

We fix $q \in \mathbb{Q}$.

- ▶ Consider the subset of \mathbb{N} :

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- ▶ It is a submonoid of $2^{\mathbb{N}}$

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- ▶ \Rightarrow it is finitely generated: $\{g_1, \dots, g_n\}$

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- ▶ and thus the language L_q^∞ can be produced on the output tape