
Parallel-agreement is harder than set-agreement

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Joint work with Zohir Bouzid

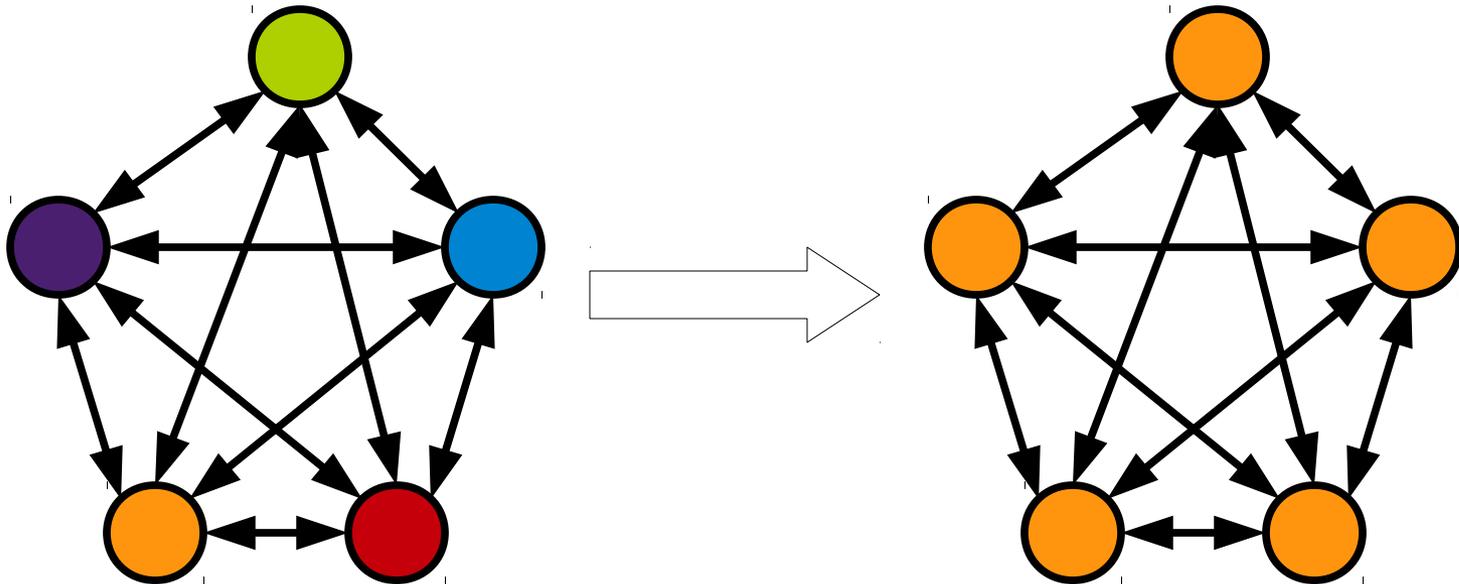
DISPLEXITY workshop 2014

Overview

- Set agreement and Parallel agreement
Generalize the consensus problem
- Main question: Relative hardness of Set/Parallel agreement
in *message passing, asynchronous, crash prone* system

Consensus

Processes must *agree* on one of the initial values



On the Consensus Problem

- Asynchronous fault-tolerant consensus is impossible

[FLP]

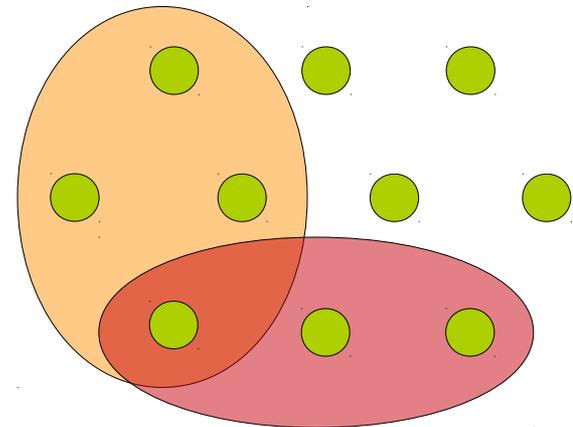
- Work-around

- Safety:

- Quorums
- Majority of non-faulty processes

- Liveness:

- Partial synchrony
- Leader
- Failure detection



Consensus Generalisations

- k-set agreement [Chaudhuri 93]
weak safety:
up to k distinct values can be decided

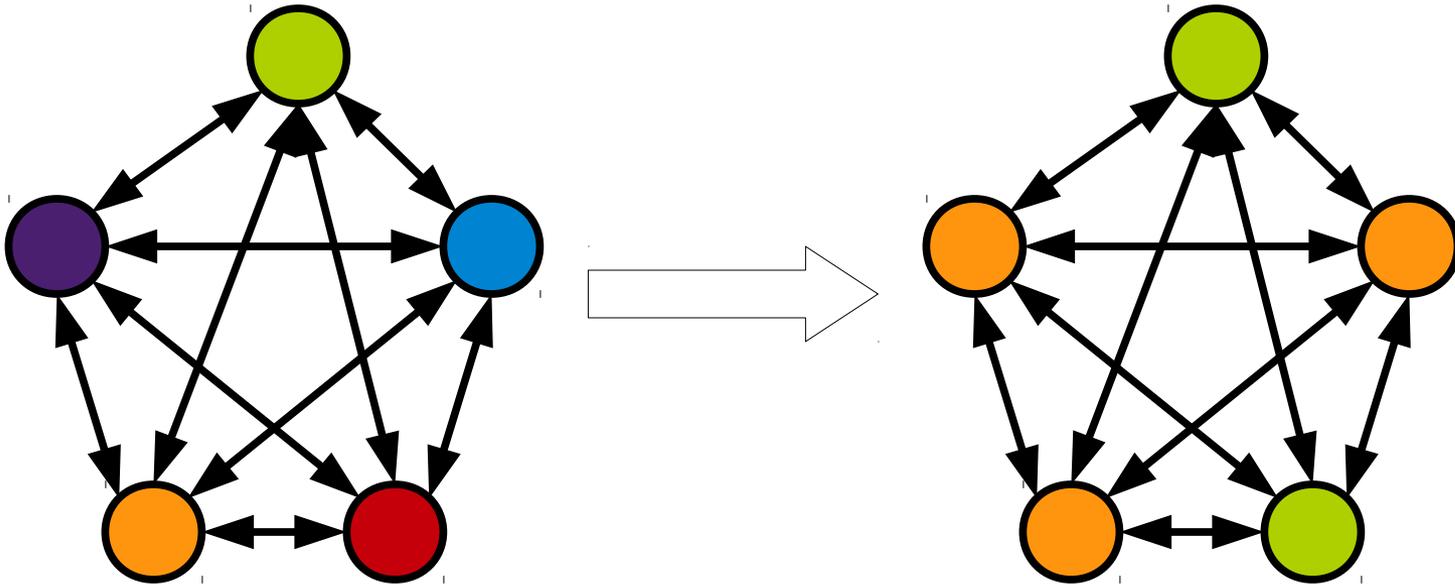
- k-parallel agreement [Afek et al. 10]
weak termination:
k parallel instances of consensus,
each proc is required to decided in *one of them*

k-set agreement

Agree on at most k values

- n processes $\{p_1, \dots, p_n\}$
- Initial values $\{v_1, \dots, v_n\}$

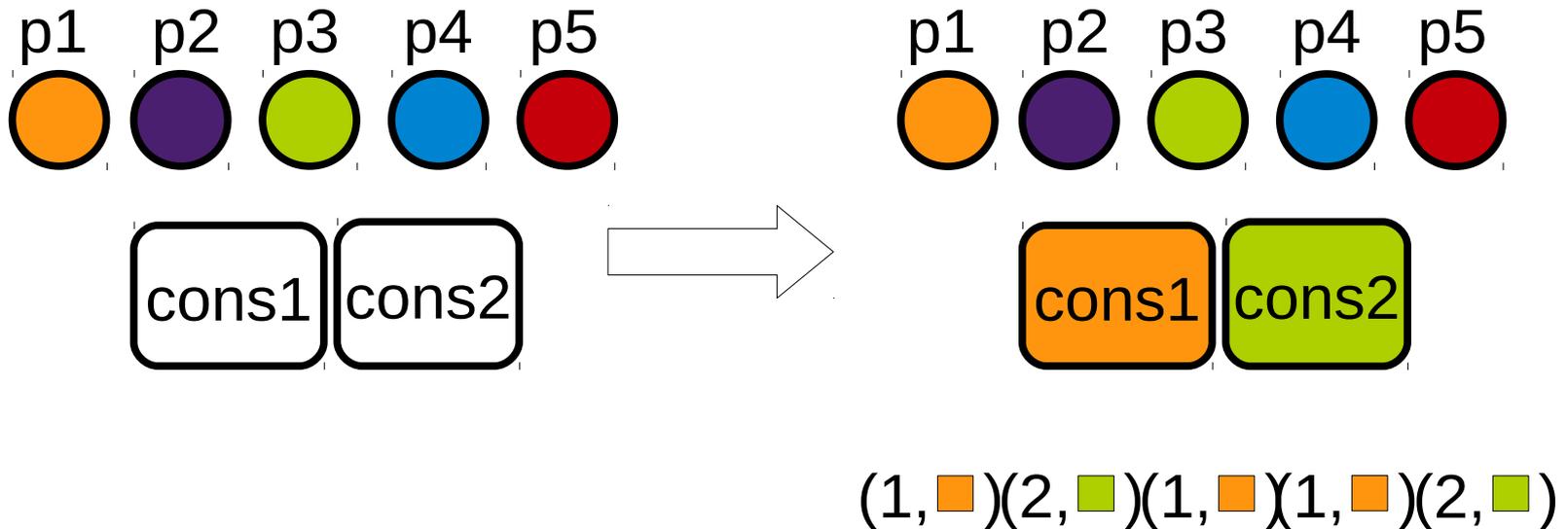
- Three properties
 - Validity
 - Agreement : #decision $\leq k$
 - Termination



k-parallel agreement

K instances of consensus

Each proc. has to decide in at least one instance



k-parallel agreement

- Each proc p_i proposes a value v_i
- Decides a pair (c_i, u_i) such that

Validity $1 \leq c_i \leq K$

u_i is a proposed value

Agreement For all i, j : If $c_i = c_j$ then $u_i = u_j$

Termination Every non faulty process decides

On parallel/set agreement

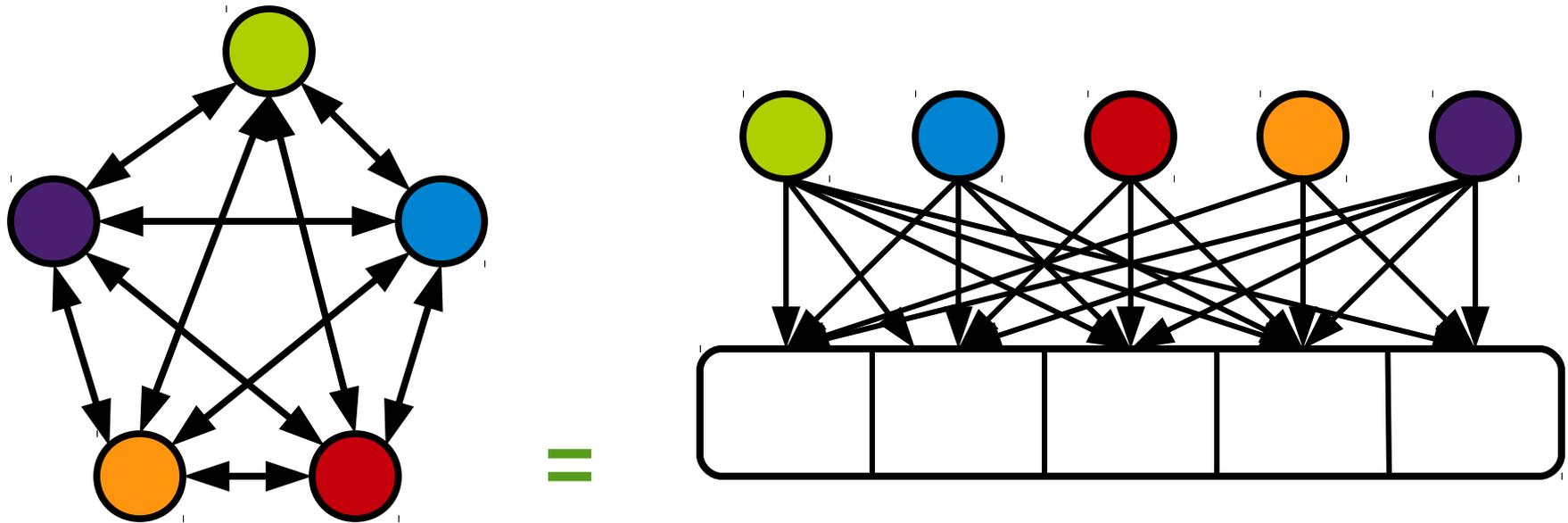
- k-parallel/set agreement **solvable** in asynch. system
iff #failures < K
- k-set agreement : computability benchmark
 - Classification of failures adversary [**Gafni Kuznetsov 2011**]
 - Smallest K for which K-set agreement is solvable => insights on what can be computed in a given model
- k-parallel agreement
=> K-parallel state machine replication
[**Gafni Guerraoui Generalized universality 2011**]

set-agreement vs. parallel-agreement

- k-parallel agreement implements k-set agreement
(at most one decision in each of the k instances)
- k-// agreement and k-set agreement *are equivalent*
in shared memory [**Afek et al. 2010**]

Message passing model ?

Message passing vs. Shared memory

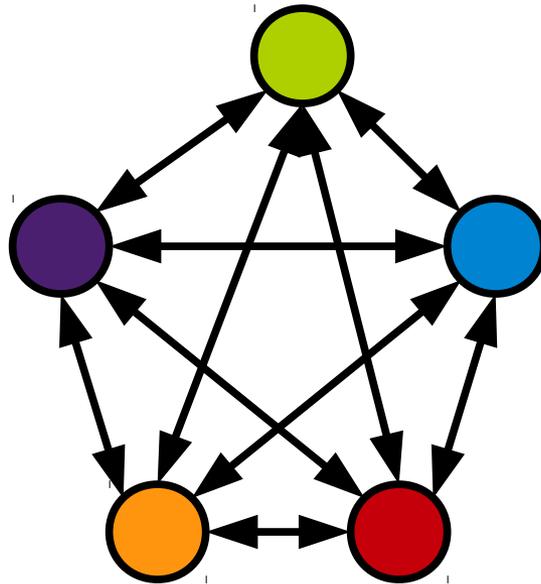


when $\#failures < \#procs/2$ [abd 95]

Computational model

Asynchronous message passing model

- n processes asynchronous, may **crash**
- t : upper bound on #crash ($t \geq n/2$)
- Asynchronous, but reliable communication



k-set vs. k-// agreement in message passing

- $t < n/2$: shared memory can be emulated in the message passing models
k-set agreement and k-// agreement are *equivalent*
- $t \geq n/2$: ???

Results

Existence of leader-based protocols

t	0	$\frac{n+k-2}{2}$	$\frac{kn}{k+1}$	n	
k-set agreement	✓	✓	✗		[Bouzid T. 10]
k-parallel agreement	✓	✗	✗		[this talk]

When k-set agreement implements k-parallel agreement

t	0	$\frac{n}{2}$	$\frac{n+k-2}{2}$	$\frac{kn}{k+1}$	n
		k-// A = k-SA [Gafni et al]	k-SA ≤ k-//A [this talk]	k-SA < k-//A [this talk]	

Leader

- At each process p : **leader_p**
- Eventual leadership:
Eventually,
 - there is a proc q such that **leader_p = q** for all processes
 - q is a **non-faulty process**

Leader-based parallel agreement

- Let f be a C coloring of the sets of procs of size $n-t$
s.t. $f(Q) = f(Q')$ *implies* $Q \cap Q' \neq \emptyset$

Example: $n = 5, t = 3$

$\{p1,p2\}$ $\{p1,p3\}$ $\{p1,p4\}$ $\{p1,p5\}$
 $\{p2,p3\}$ $\{p2,p4\}$ $\{p2,p5\}$
 $\{p3,p4\}$ $\{p3,p5\}$ $\{p4,p5\}$

$C = 3$

Sets with the same color = A quorum system

C-parallel agreement

- Let A_1, \dots, A_C be C instances of a *quorum-based, leader-based asynchronous* consensus algorithm (i.e. [mostefaoui raynal])
- Instance A_i is associated with quorum system *colored i*

$n = 5, t = 3$

A_1	$\{p_1, p_2\}$	$\{p_1, p_3\}$	$\{p_1, p_4\}$	$\{p_1, p_5\}$
A_2	$\{p_2, p_3\}$	$\{p_2, p_4\}$	$\{p_2, p_5\}$	
A_3	$\{p_3, p_4\}$	$\{p_3, p_5\}$	$\{p_4, p_5\}$	

- Each proc p participates simultaneously in A_1, \dots, A_C
 - p decides v in $A_i \Rightarrow$ decide (i, v) in parallel agreement

C-parallel agreement (cont'd)

- **A_1, \dots, A_C** : **C** instances of a *quorum-based, leader-based asynchronous* consensus algorithm
- Instance **A_i** is associated with quorum system ***colored i***

$n = 5, t = 3$	A_1	$\{p_1, p_2\}$	$\{p_1, p_3\}$	$\{p_1, p_4\}$	$\{p_1, p_5\}$
	A_2	$\{p_2, p_3\}$	$\{p_2, p_4\}$	$\{p_2, p_5\}$	
	A_3	$\{p_3, p_4\}$	$\{p_3, p_5\}$	$\{p_4, p_5\}$	

Correctness

- *Agreement*: At most one value decided in each instance
- *Termination*: One set of $(n-t)$ non-faulty procs colored i ,
for some $1 \leq i \leq C$, the corresponding **A_i** terminates
- ***Value of C ?***

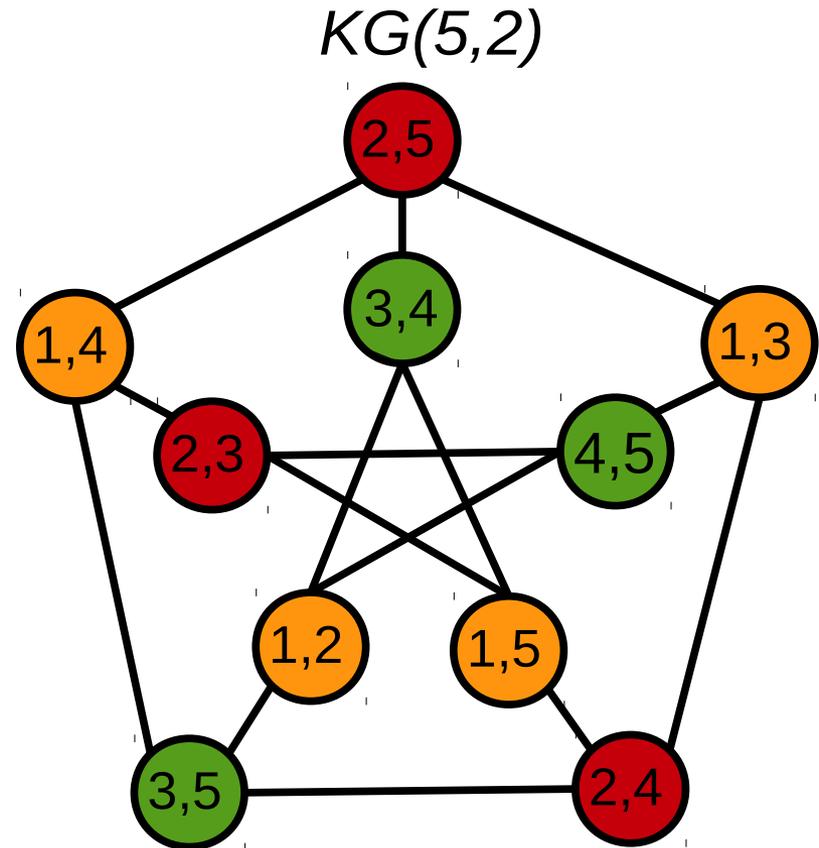
Kneser Graphs $KG(n,x)$

- **Vertex** : subset Q of $\{1, \dots, n\}$ of size x
- **Edge** : (Q, Q') is an edge iff $Q \cap Q' = \emptyset$

Chromatic number

$$X(KG(n,x)) = n - 2x + 2$$

[Lovasz 78]



$$X(KG(5,2)) = 3$$

C-parallel agreement

$$\begin{aligned} C &= \min \# \text{colors to color } KG(n, n-t) \\ &= X(KG(n, n-t)) = 2t - n + 2 \end{aligned}$$

Lemma: There is a leader-based k -parallel agreement protocol if $k \geq 2t - n + 2$, i.e., $t \leq (n + k - 2)/2$

Lower bound

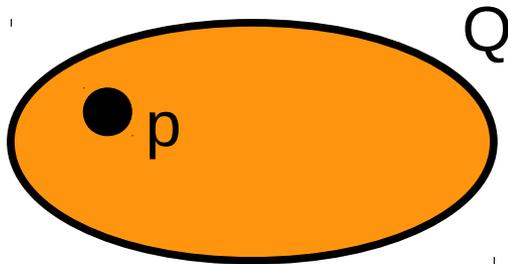
Lemma: There is **no** leader-based
k-parallel agreement protocol for $k < 2t - n + 2$,
i.e. if $t > (n + k - 2) / 2$

Lower bound

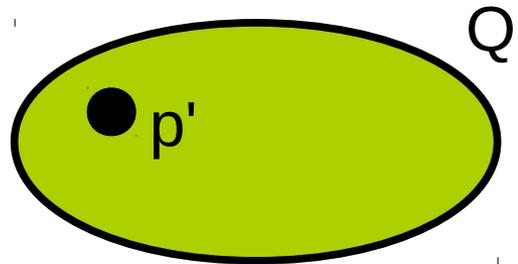
no leader-based k -parallel agreement if $k < 2t-n+2$

Proof protocol implies coloring of $KG(n,n-t)$

- \mathbf{A} : t -resilient k -parallel agreement protocol
- Q, Q' : subset of procs. of size $n-t$
- e_Q ($e_{Q'}$) : execution of \mathbf{A} in which only processes in Q (resp. Q') participate



p decides (c, v)
 $\text{color}(Q) = c$



p' decides (c', v')
 $\text{color}(Q') = c'$

If $Q \cap Q' = \emptyset$,
 $c \neq c'$

$1 \leq c, c' \leq k$

coloring of $KG(n,n-t)$ hence $k \geq X(KG_{n,n-t}) = 2t-n+2$

Set-agreement vs. Parallel-agreement

- k -// agreement implements k -set agreement
(at most one decision in each of the k instances)
- k -// agreement and k -set agreement *are equivalent*
in shared memory [Afek et al. 2010]

Conditions on t, k, n for which k -// agr. can be implemented
from k -set agr. in message passing, $t \geq n/2$?

k-parallel agreement is harder than k-set agreement

Thm: If $t > (n+k-2)/2$, there is **no protocol** that implements **k-// agreement** from **k-set agreement**

Proof: Reduction from (impossibility of) failures detectors emulation

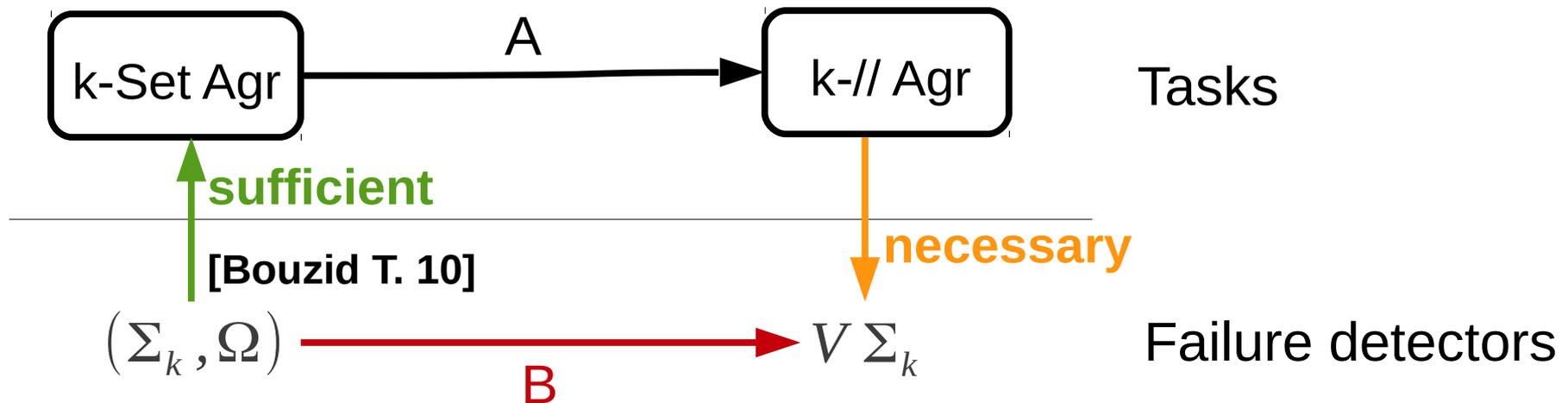
Failure detectors [Chandra Toueg 96]

- Distributed oracles that give information on failures
 - Example leader f.d: output at each process a proc. Id s.t. eventually same Id of a correct process is output
- Failure detector D is *sufficient* to solve task T if there is a protocol that uses D for T
 - Leader f.d. is sufficient to solve consensus if $t < n/2$
- Failure detector D is *necessary* to solve task T if for from f.d. D' sufficient for T , one can emulate D
 - Leader f.d. necessary for consensus [Chandra Hadzilacos Toueg 96]

k-parallel agreement is harder than k-set agreement

Thm: If $t > (n+k-2)/2$, there is no protocol that implements k -// agreement from k -set agreement.

Proof : Reduction to failure detectors emulation



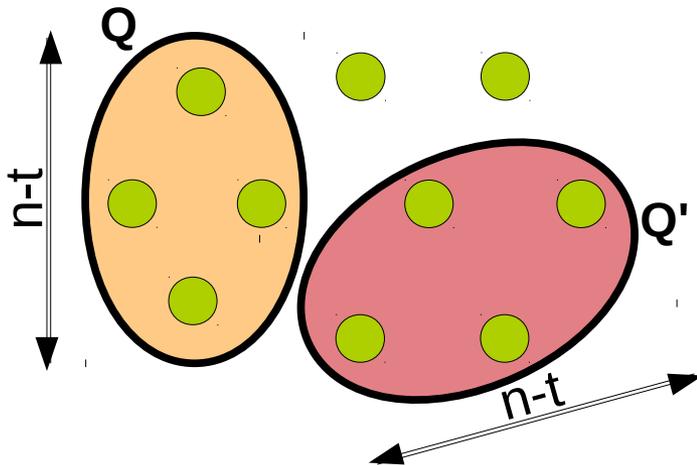
Failure detector definitions

- Σ_k at each proc output Q : subsets of $\{p_1, \dots, p_n\}$
 - Liveness: eventually Q contains only correct proc.
 - Intersection: $\forall Q_1, \dots, Q_{k+1}, \exists i \neq j, Q_i \cap Q_j \neq \emptyset$
- $V \Sigma_k$ at each proc output V : vector $[Q_1, \dots, Q_k]$ of k subsets of $\{p_1, \dots, p_n\}$
 - Liveness: $\exists c, 1 \leq c \leq k$ eventually $V[c]$ contains only correct proc.
 - Intersection: $\forall c, 1 \leq c \leq k, \forall i, j V_i[c] \cap V_j[c] \neq \emptyset$

Σ_k cannot emulate $V \Sigma_k$

$$(\Sigma_k, \Omega) \xrightarrow{\mathbf{B}} V \Sigma_k \quad t > \frac{n+k-2}{2}$$

Reduction to a k-coloring of the kneser graph $KG(n, n-t)$



e_Q : execution of B , Correct = Q

□ eventually $\exists c: V[c] \subseteq Q$

(liveness property)

□ set $\text{color}(Q) = c$

Valid coloring:

If $Q \cap Q' = \emptyset$ “merge” e_Q and $e_{Q'}$
 $\Rightarrow c \neq c'$ (intersection property)

Open question

$$0 \leq t < \frac{n}{2}$$

k-set agr. and k-// agr.
are equivalent

$$\frac{n+k-2}{2} < t \leq n$$

k-// Agr. stricly harder
than k-set agr.

$$\frac{n}{2} \leq t \leq \frac{n+k-2}{2}$$

????

upper bound : k-set agr. implements (2k-1)-// agr.

Future work

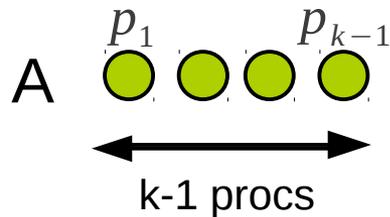
- Computability : what can be computed when a majority of the processes may fail ?

- Partition-tolerant algorithms

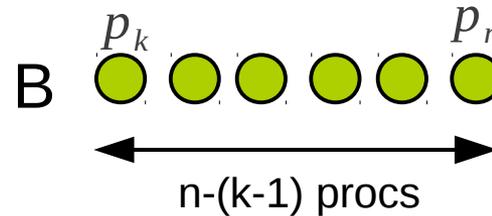
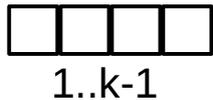
Thanks !

From k -SC to $(2k-1)$ -PC

Assumption: $t \leq \frac{n+k-2}{2}$



$p_i \in A$ decides (i, v_i)



$p_i \in B$ implements k -PC from k -SC in SM



Liveness: if $A \cap \text{Correct} = \emptyset$, at most $t - (k-1) < \frac{n - (k-1)}{2}$ failures in B
 if $A \cap \text{Correct} \neq \emptyset$, dec. of $p \in A$ can be adopted by any proc