

Exponential time vs probabilistic polynomial time

Sylvain Perifel (LIAFA, Paris)

Dagstuhl – January 10, 2012

Introduction

Probabilistic algorithms:

- ▶ can toss a coin
- ▶ polynomial time (worst case)
- ▶ probability of error $< \epsilon$

→ class **BPP**.

Introduction

Probabilistic algorithms:

- ▶ can toss a coin
- ▶ polynomial time (worst case)
- ▶ probability of error $< \epsilon$

→ class **BPP**.

Common belief:

they can be *derandomized*

(true if some
circuit lower bounds hold)



However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \text{ (!)}$$

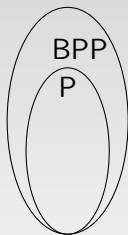
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \quad (!)$$



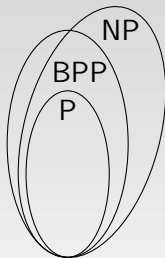
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \quad (!)$$



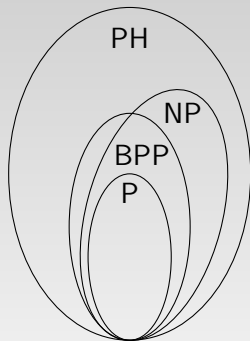
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \quad (!)$$



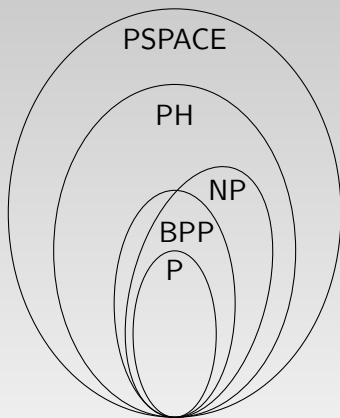
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} (!)$$



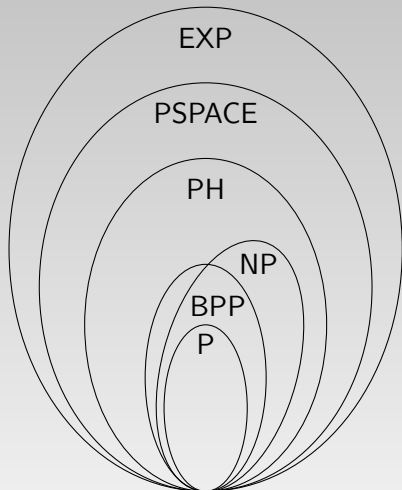
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \quad (!)$$



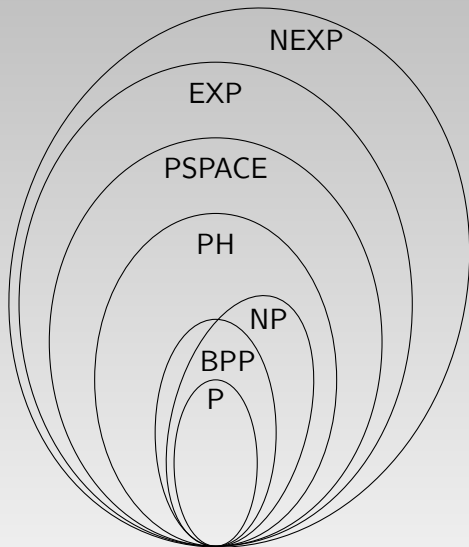
However...

Even if it is believed that

$$\text{BPP} = \text{P}$$

it is **open** whether

$$\text{NEXP} \neq \text{BPP} \quad (!)$$



Outline

1. Problem
2. Resource-bounded Kolmogorov complexity
3. Interactive protocols

Outline

1. Problem
2. Resource-bounded Kolmogorov complexity
3. Interactive protocols

Probabilistic algorithms

- ▶ Turing machine that can **toss a coin** at each step

Probabilistic algorithms

- ▶ Turing machine that can **toss a coin** at each step
- ▶ Another view: a **deterministic** TM M which takes as input x together with a **string of random bits** $r \rightarrow M(x, r)$

Probabilistic algorithms

- ▶ Turing machine that can **toss a coin** at each step
- ▶ Another view: a **deterministic** TM M which takes as input x together with a **string of random bits** $r \rightarrow M(x, r)$

BPP: class of languages A such that

there is a **polynomial-time** Turing machine M satisfying

- ▶ if $x \in A$ then $\Pr_{r \in \{0,1\}^{p(n)}}(M(x, r) = 1) \geq 2/3$
- ▶ if $x \notin A$ then $\Pr_{r \in \{0,1\}^{p(n)}}(M(x, r) = 0) \geq 2/3$

$\rightarrow M$ gives the correct answer with probability $\geq 2/3$.

Remarks on BPP

Remarks:

- ▶ polynomial time **whatever** the random bits

Remarks on BPP

Remarks:

- ▶ polynomial time **whatever** the random bits
- ▶ the probability of error $1/3$ can be **reduced** by repeating the algorithm

Remarks on BPP

Remarks:

- ▶ polynomial time **whatever** the random bits
- ▶ the probability of error $1/3$ can be **reduced** by repeating the algorithm
- ▶ BPP has **circuits of polynomial size** (Adleman 1978)

Example

Integer testing:

Input: an arithmetic circuit C
computing an integer N

Question: $N = 0$?



Example

Integer testing:

Input: an arithmetic circuit C
computing an integer N

Question: $N = 0$?

Deterministic: no known
polynomial algorithm...



Example

Integer testing:

Input: an arithmetic circuit C
computing an integer N

Question: $N = 0$?

Deterministic: no known
polynomial algorithm...

Probabilistic: C is evaluated modulo
random integers m_i



Example

Integer testing:

Input: an arithmetic circuit C
computing an integer N

Question: $N = 0$?

Deterministic: no known
polynomial algorithm...

Probabilistic: C is evaluated modulo
random integers m_i



If we can compute a polynomial number of integers m_i st

$$C() = 0 \iff \forall i, C() \equiv 0 \pmod{m_i}, \quad (|C| = n)$$

Example

Integer testing:

Input: an arithmetic circuit C
computing an integer N

Question: $N = 0$?

Deterministic: no known
polynomial algorithm...

Probabilistic: C is evaluated modulo
random integers m_i



If we can compute a polynomial number of integers m_i st

$$C() = 0 \iff \forall i, C() \equiv 0 \pmod{m_i}, \quad (|C| = n)$$

then $\prod_i m_i$ doesn't have circuits of size n : **lower bound!**

Nondeterministic exponential time

NEXP:

- ▶ languages recognized by a **nondeterministic** TM working in exponential time

Nondeterministic exponential time

NEXP:

- ▶ languages recognized by a **nondeterministic** TM working in exponential time
- ▶ **very large** class
- ▶ complete problems: Succinct 3SAT, ...

Nondeterministic exponential time

NEXP:

- ▶ languages recognized by a **nondeterministic** TM working in exponential time
- ▶ **very large** class
- ▶ complete problems: Succinct 3SAT, ...

Best circuit lower bound known (Ryan Williams 2010):

$$\text{NEXP} \not\subseteq \text{ACC}^0$$

(polynomial size, constant depth circuits with modulo gates)

Nondeterministic exponential time

NEXP:

- ▶ languages recognized by a **nondeterministic** TM working in exponential time
- ▶ **very large** class
- ▶ complete problems: Succinct 3SAT, ...

Best circuit lower bound known (Ryan Williams 2010):

$$\text{NEXP} \not\subseteq \text{ACC}^0$$

(polynomial size, constant depth circuits with modulo gates)

Open:

- ▶ $\text{NEXP} \not\subseteq \text{P/poly}$ (polynomial size circuits)?

NEXP \neq BPP?

Why doesn't simple diagonalization work?

In order to simulate **deterministically**
a probabilistic TM with $|r|$ random bits:

- ▶ run $M(x, r)$ for all r
- ▶ take the majority answer

→ time $\geq 2^{|r|}$

Why doesn't simple diagonalization work?

In order to simulate **deterministically**
a probabilistic TM with $|r|$ random bits:

- ▶ run $M(x, r)$ for all r
- ▶ take the majority answer

→ time $\geq 2^{|r|}$

One strategy for $\text{NEXP} \neq \text{BPP}$:

diagonalize over probabilistic machines working in time $n^{\log n}$

→ time $2^{n^{\log n}}$, outside NEXP.

Outline

1. Problem
2. Resource-bounded Kolmogorov complexity
3. Interactive protocols

Definition

Kolmogorov complexity:

$C(x)$ = minimal size of a program printing x

Definition

Resource bounded Kolmogorov complexity:

$C^t(x)$ = minimal size of a program printing x **in time t**

Definition

Resource bounded Kolmogorov complexity:

$C^t(x)$ = minimal size of a program printing x **in time t**

- ▶ Other **variants** studied:
Buhrman, Fortnow, Laplante, Lee, van Melkebeek,
Romashchenko, ...
- ▶ Results on the **complexity of computing** the resource bounded Kolmogorov complexity:
Allender, Mayordomo, Ronneburger, ...

Definition

Resource bounded Kolmogorov complexity:

$C^t(x)$ = minimal size of a program printing x **in time t**

- ▶ Other **variants** studied:

Buhrman, Fortnow, Laplante, Lee, van Melkebeek,
Romashchenko, ...

- ▶ Results on the **complexity of computing** the resource bounded Kolmogorov complexity:

Allender, Mayordomo, Ronneburger, ...

In this talk: **more basic stuffs!**

Example of a link with our problem

Usually $C(x, y) \gtrsim C(x) + C(y|x)$ (symmetry of information)

Example of a link with our problem

Usually $C(x, y) \gtrsim C(x) + C(y|x)$ (symmetry of information)

The proof requires **enumerating** a set of exponential size
→ **open** with polynomial time bounds.

Example of a link with our problem

Usually $C(x, y) \gtrsim C(x) + C(y|x)$ (symmetry of information)

The proof requires **enumerating** a set of exponential size
→ **open** with polynomial time bounds.

—— THEOREM (Lee and Romashchenko 2005, P. 2007) ——

If (SI) holds with **polynomial-time bounds**, then
 $\text{EXP} \neq \text{BPP}$ and even $\text{EXP} \not\subseteq \text{P/poly}$.

A classical observation

Let M be a **BPP machine** for a language A working in **time t with error $2^{-\epsilon t}$** .

Suppose $x \in A$.

- ▶ Then there are $2^{(1-\epsilon)t}$ words r such that $M(x, r) = 0$.
- ▶ Hence if $M(x, r) = 0$ then $C^{t2^t}(r|x) \leq (1 - \epsilon)t$.

In other words,

if $C^{t2^t}(r|x) > (1 - \epsilon)t$ then $M(x, r)$ gives the **correct result**.

The converse?

[Cheating...]

If $M(x, r) = 0$ then $C^{t2^t}(r|x) \leq (1 - \epsilon)t$.

Since there are:

- ▶ $2^{(1-\epsilon)t}$ words r such that $M(x, r) = 0$
- ▶ $\leq 2^{(1-\epsilon)t}$ words r such that $C^{t2^t}(r|x) \leq (1 - \epsilon)t$

we have

$$C^{t2^t}(r|x) \leq (1 - \epsilon)t \iff M(x, r) = 0 \quad (!)$$

Going outside BPP

Then for an enumeration (M_n) of probabilistic machines on input x , in NEXP:

- ▶ guess r of size $n^{\log n}$,
- ▶ check it has high complexity,
- ▶ simulate $M_n(x, r)$ for $n^{\log n}$ steps and take the opposite result.

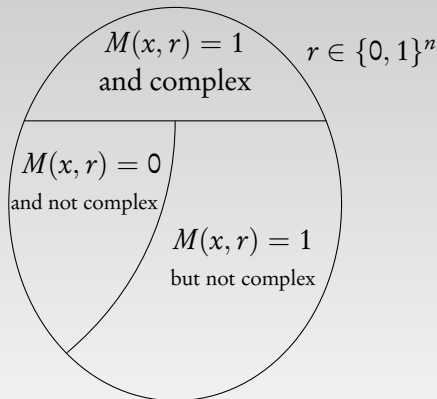
Hence NEXP \neq BPP!

The converse?

If $M(x, r) = 0$ then $C^{t2^t}(r|x) \leq (1 - \epsilon)t + \alpha$.

Hence:

- ▶ if $C^{t2^t}(r|x) \geq (1 - \epsilon)t + \alpha$ then $M(x, r) = 1$;
- ▶ for a fraction $2^{-\alpha}$ of the remaining words r , $M(x, r) = 0$.

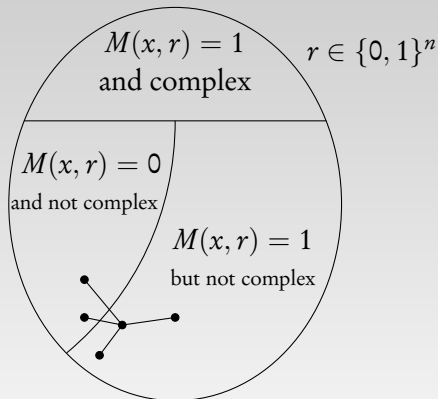


The converse?

If $M(x, r) = 0$ then $C^{t2^t}(r|x) \leq (1 - \epsilon)t + \alpha$.

Hence:

- ▶ if $C^{t2^t}(r|x) \geq (1 - \epsilon)t + \alpha$ then $M(x, r) = 1$;
- ▶ for a fraction $2^{-\alpha}$ of the remaining words r , $M(x, r) = 0$.



Outline

1. Problem
2. Resource-bounded Kolmogorov complexity
3. Interactive protocols

Quantifier alternation

THEOREM (Kannan 1982)

NEXP^{NP} does not have circuits of polynomial size

Idea (in fact more subtle): in NEXP^{NP} express

“there is a circuit A_0 of size $n^{2 \log n}$ such that:
for all circuit A of size $n^{\log n}$, $A(x) \neq A_0(x)$ for some x ”

Quantifier alternation

THEOREM (Kannan 1982)

NEXP^{NP} does not have circuits of polynomial size

Idea (in fact more subtle): in NEXP^{NP} express

“there is a circuit A_0 of size $n^{2 \log n}$ such that:
for all circuit A of size $n^{\log n}$, $A(x) \neq A_0(x)$ for some x ”

(even better: $\text{MA}_{\text{EXP}} \not\subseteq \text{P/poly}$
Buhrman, Fortnow, Thierauf 1998)

Interactive protocol for NEXP

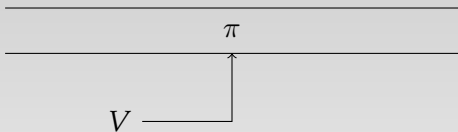
NEXP = MIP (Babai, Fortnow, Lund 1991) or

NEXP = PCP(poly, poly).

Interactive protocol for NEXP

NEXP = MIP (Babai, Fortnow, Lund 1991) or
NEXP = PCP(poly, poly).

Exponentially long proof π , BPP-style verifier V that can read a polynomial number of bits from the proof.



- ▶ if $x \in A$ then $\exists \pi$ st $V^\pi(x)$ accepts with proba 1;
- ▶ if $x \notin A$ then $\forall \pi$, $V^\pi(x)$ rejects with proba $\geq 2/3$.

Protocols with powerful verifier

If $\text{NEXP} = \text{BPP}$ then the verifier can be BPP^{NEXP} !

Protocols with powerful verifier

If $\text{NEXP} = \text{BPP}$ then the verifier can be BPP^{NEXP} !

- ▶ With such a powerful verifier, we have “two quantifiers”
→ hope for going outside from BPP?
- ▶ Problem: only a **polynomial number** of bits of the proof can be read

Protocols with powerful verifier

If $\text{NEXP} = \text{BPP}$ then the verifier can be BPP^{NEXP} !

- ▶ With such a powerful verifier, we have “two quantifiers”
→ hope for going outside from BPP?
- ▶ Problem: only a **polynomial number** of bits of the proof can be read

Question: what can be done in $\text{PCP}(\text{poly}, \text{poly})^{\text{NEXP}}$?

Conclusion

- ▶ hard-to-believe but still **open**
- ▶ (resource-bounded) **Kolmogorov complexity** might help
- ▶ combined with **interactive protocols**?

Conclusion

- ▶ hard-to-believe but still **open**
- ▶ (resource-bounded) **Kolmogorov complexity** might help
- ▶ combined with **interactive protocols**?

Last question:

if M is a BPP-machine and $C^{2^n}(r) = |r|$,
does $M(x, r)$ give the correct answer?

(time bound 2^n instead of $t2^t$)