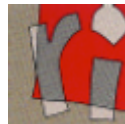


Quantum and randomized
query complexity lower bounds
using
Kolmogorov adversary arguments

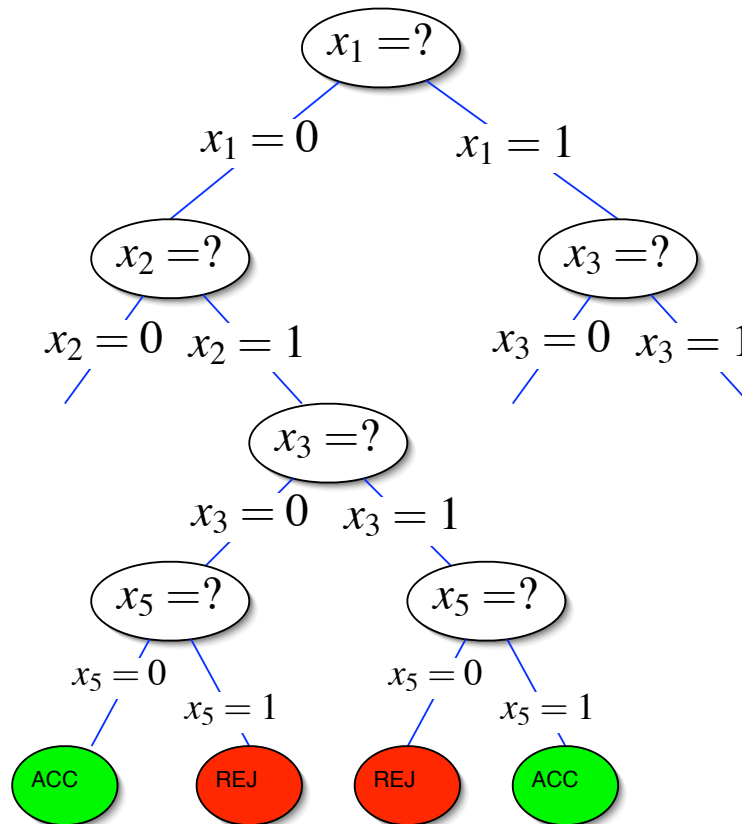
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Query complexity: classical model

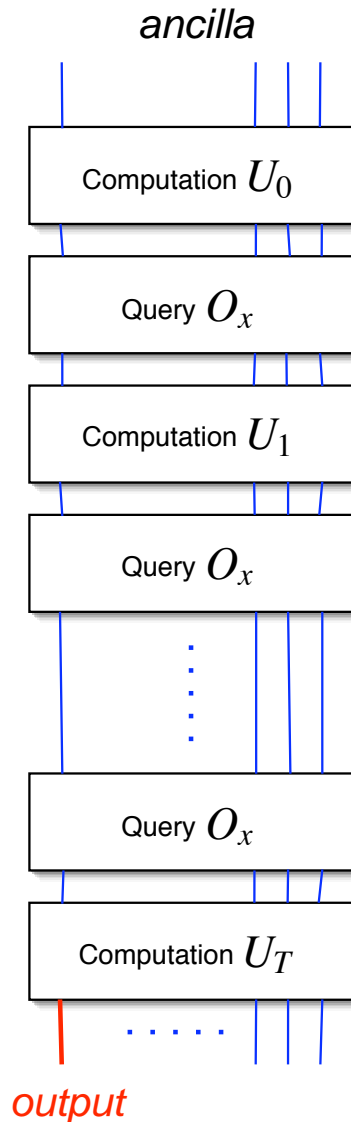


For any boolean function f :

- Goal compute $f(x)$
- Cost worst case number of queries to bits of x

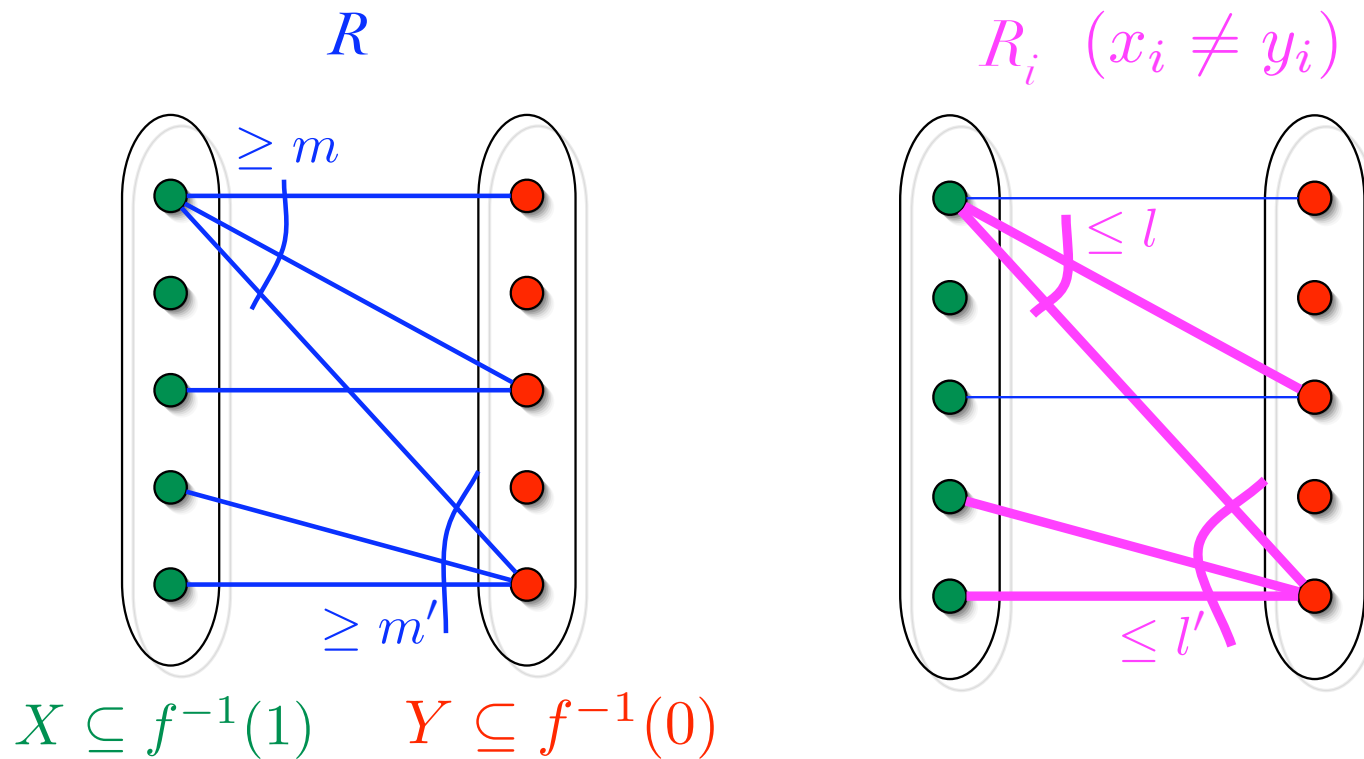
$DT(f)$ = depth of the shallowest decision tree that computes f

Query complexity: quantum model



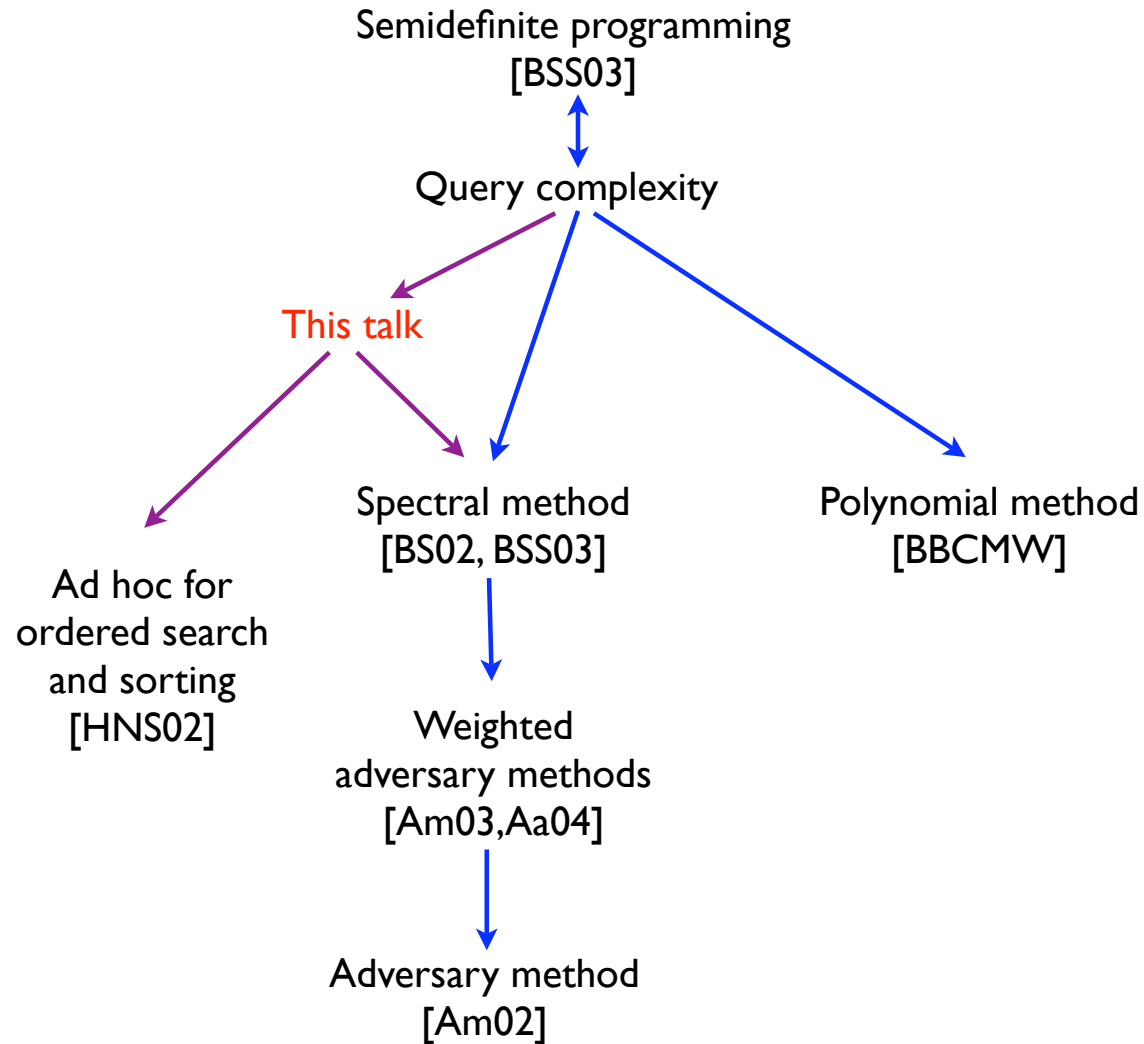
- Queries : unitary transformation O_x that maps $|i, b\rangle$ to $|i, b \oplus x_i\rangle$ (identity on remaining qubits)
- Computation :
$$|\psi_T\rangle = U_T O_x \cdots O_x U_0 |0\rangle$$
- Output : Measure first qubit of $|\psi_T\rangle$
- Error probability bounded by $1/3$
- Same model with **stochastic** matrices for **randomized query complexity**

Ambainis' unweighted adversary method



$$\text{QQC} \geq \Omega \left(\sqrt{\frac{mm'}{ll'}} \right)$$

Quantum lower bound techniques



Kolmogorov complexity

Defn $K(x|y)$ is the length of the shortest program that prints x , given as input the string y

For any finite set of strings A ,

- $\forall x \in A \ K(x|A) \leq \log(|A|)$
- $\exists x \in A \ K(x|A) \geq \log(|A|)$
- Such a string x is called *incompressible* with respect to A .
- Incompressible strings are “typical”, behave like strings taken at random from A .

Why use Kolmogorov complexity?

- Captures intuition of “not enough information to carry out computational task”.
- Similar to, but often easier to apply than:
 - Information theoretic techniques,
 - Probabilistic method.

Simple case: decision tree complexity

For any function $f : \{0, 1\}^n \rightarrow D$ and any inputs x, y such that $f(x) \neq f(y)$, if T decides f , its deterministic decision tree complexity is

$$DT(f) \geq \min_{i: x_i \neq y_i} \{ \max\{2^{K(i|x, T)}, 2^{K(i|y, T)}\} \}$$

Claim:

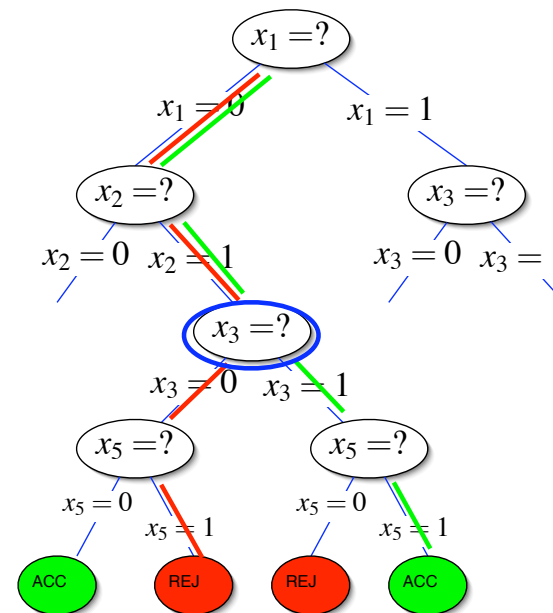
$\exists i : x_i \neq y_i \quad (i = 3)$

$$K(i|x, T) \leq \log(DT(f))$$

$$K(i|y, T) \leq \log(DT(f))$$

$x = 01011$

$y = 01101$



Main Theorem

For any function $f : \{0, 1\}^n \rightarrow D$
and any inputs x, y such that $f(x) \neq f(y)$
if A decides f ,

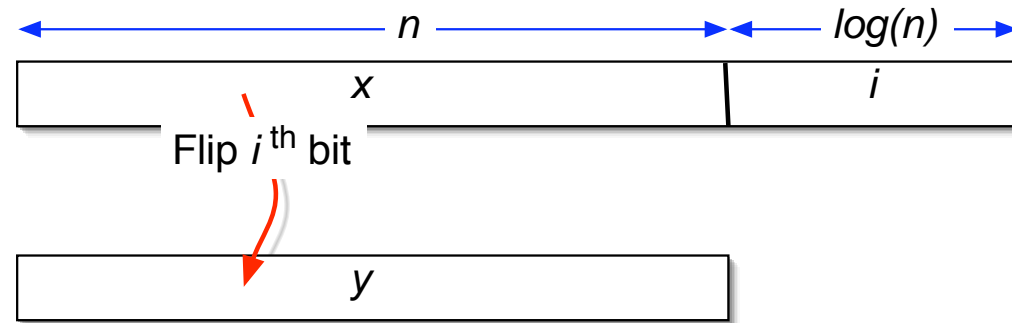
- The quantum query complexity is

$$\text{QQC} \geq \Omega \left(\frac{1}{\sum_{i:x_i \neq y_i} \sqrt{2^{-K(i|x,A)} - 2^{-K(i|y,A)}}} \right)$$

- The randomized query complexity is

$$\text{RQC} \geq \Omega \left(\frac{1}{\sum_{i:x_i \neq y_i} \min\{2^{-K(i|x,A)}, 2^{-K(i|y,A)}\}} \right)$$

Example: Lower bound for parity



Pick an incompressible string of length $n + \log(n)$

- $K(i|x) \geq \log(n)$
- $n + \log(n) \leq K(i, x) \leq K(i, y)$, so
- $K(i|y) \geq \log(n)$

Therefore

$$\text{QQC}(\text{Parity}) \geq \Omega\left(\sqrt{2^{2\log(n)}}\right) = \Omega(n)$$

Sketch of proof (1/2)

I. Model-dependent part

- Quantum case:

$$2T \sum_{i:x_i \neq y_i} \sqrt{\bar{p}^x(i)\bar{p}^y(i)} \geq \Omega(1)$$

- Randomized case:

$$2T \sum_{i:x_i \neq y_i} \min(\bar{p}^x(i), \bar{p}^y(i)) \geq \Omega(1)$$

$p_t^x(i)$ = probability of querying i at step t on input x .

$$\bar{p}^x(i) = \frac{1}{T} \sum_t p_t^x(i)$$

Sketch of proof (2/2)

2. Model-independent part

Using the Shannon-Fano code for the probability distribution on queries,

$$K(i|x, A) \leq \log \left(\frac{1}{p^x(i)} \right)$$

Therefore,

- *Quantum case:*

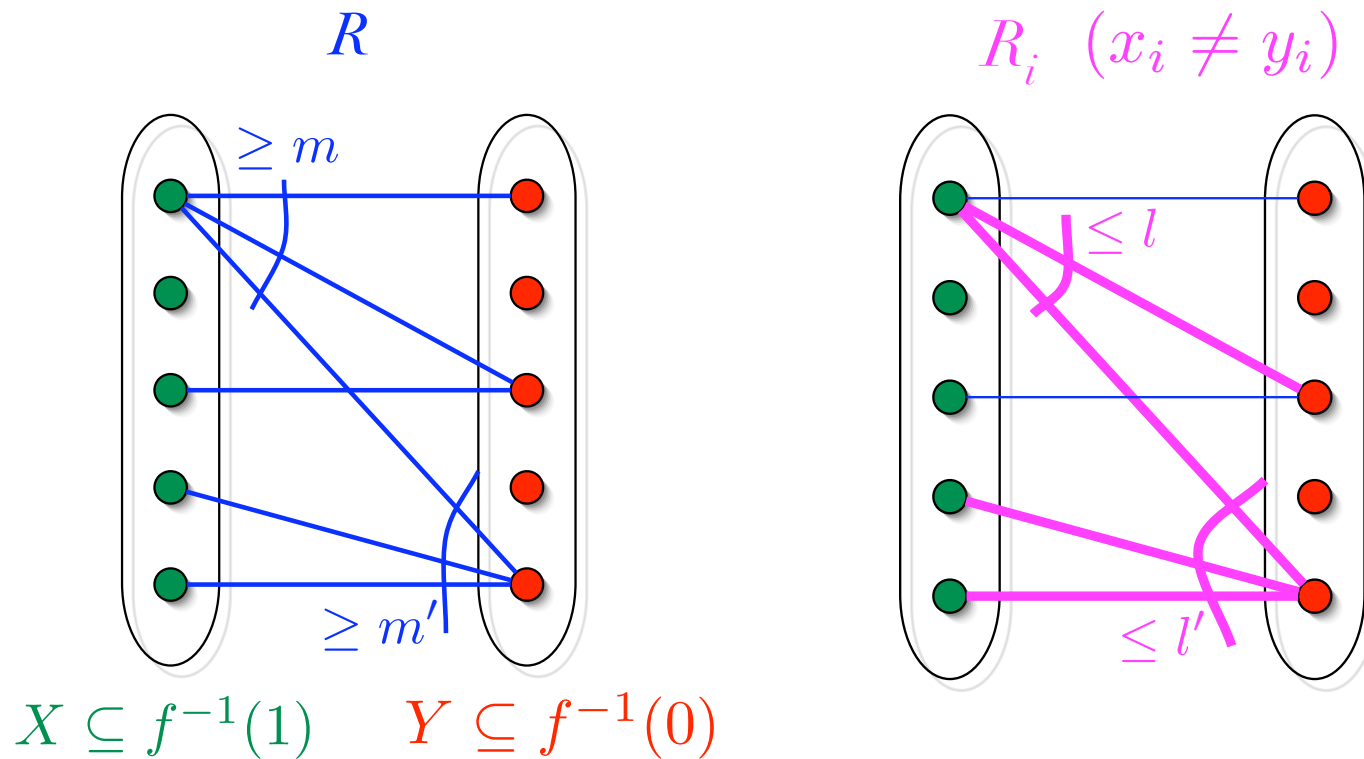
$$2T \sum_{i: x_i \neq y_i} \sqrt{2^{-K(i|x, A)} - 2^{-K(i|x, A)}} \geq \Omega(1)$$

- *Randomized case:*

$$2T \sum_{i: x_i \neq y_i} \min\{2^{-K(i|x, A)}, 2^{-K(i|y, A)}\} \geq \Omega(1)$$

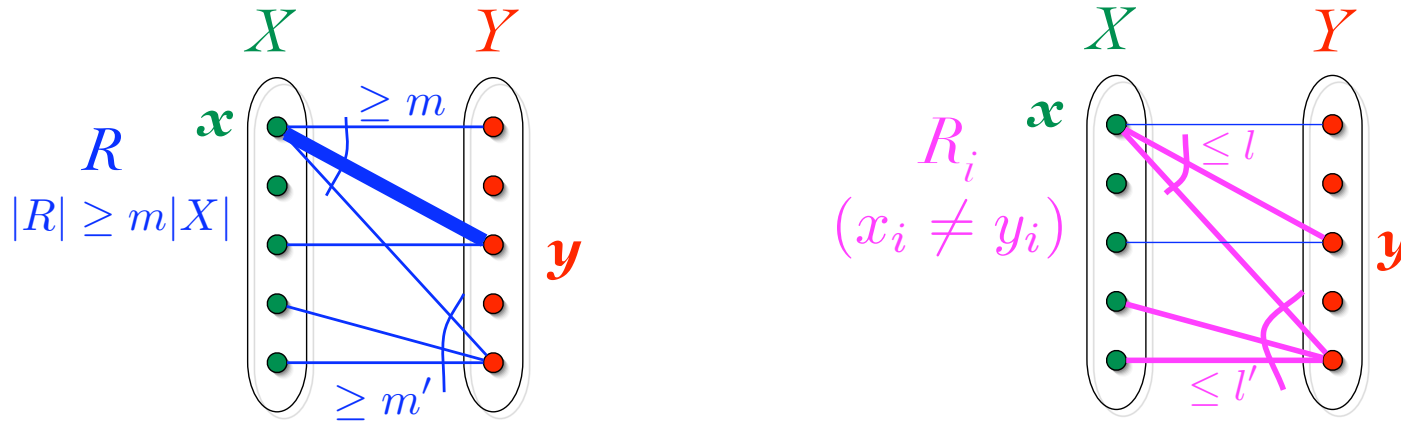
QED

Ambainis' unweighted adversary method



$$\text{QQC} \geq \Omega \left(\sqrt{\frac{mm'}{ll'}} \right)$$

Main theorem implies unweighted adversary method



$$K(i|x) \geq K(x, y) - K(x) - K(y|i, x) + K(i|x, y, K(x, y))$$

$$\begin{aligned} \exists x, y \ K(x, y) &\geq \log |R| \\ &\geq \log m + \log |X| \end{aligned}$$

$$\forall x \ K(x) \leq \log |X|$$

$$\forall x, y, i \ K(y|x, i) \leq \log l$$

$$\geq \log\left(\frac{m}{l}\right) + K(i|x, y, K(x, y))$$

Main theorem implies unweighted adversary method

We have shown $\exists x, y \forall i$ s.t. $x_i \neq y_i$

$$K(i|x) \geq \log\left(\frac{m}{l}\right) + K(i|x, y, K(x, y))$$

$$K(i|y) \geq \log\left(\frac{m'}{l'}\right) + K(i|x, y, K(x, y))$$

Recall the general theorem:

$$\text{QQC} \geq \Omega \left(\frac{1}{\sum_{i: x_i \neq y_i} \sqrt{2^{-K(i|x) - K(i|y)}}} \right)$$

and apply Kraft's inequality:

$$\text{QQC} \geq \Omega \left(\sqrt{\frac{mm'}{ll'}} \right)$$

Certificate complexity

- A *b-certificate of size m for f* is a partial assignment of *m* bits of the input, which forces the value of a function *f* to *b* (*b=0, 1*).

$$0\text{-certificate: } f(*0* | | **0*0***) = 0$$

$$1\text{-certificate: } f(*0* | *** | *0*0*) = 1$$

- The *b-certificate complexity, $C_b(f)$* , is the size of the largest minimal *b*-certificate for *f*.

- Bipartiteness: an odd cycle is a 0-certificate.
- Connectivity: a spanning tree is a 1-certificate.

Limits of adversary methods

[Troy Lee] Consider x, y with $f(x)=0$ and $f(y)=1$.

0-certificate consistent with x :

$$f(*0* | |**0*0***) = 0$$

$$f(y) = 1$$

So there exists i with $x_i \neq y_i$, such that

$$K(i|x) \leq \log(C_0(f))$$

Similarly, there exists j with $x_j \neq y_j$

$$K(j|y) \leq \log(C_1(f))$$

$$\frac{1}{\sum_{i:x_i \neq y_i} \sqrt{2^{-K(i|x,A) - K(i|y,A)}}} \leq \sqrt{nC_0(f)}, \sqrt{nC_1(f)}$$

(Indep. S. Zhang, for weighted method,
ICALP 2004)

Limits of adversary methods

R. Špalek

total

[Troy Lee] For any function f , and inputs x, y with $f(x)=0$ and $f(y)=1$, there exist i, j with $x_i = y_j$, $x_j \neq y_j$

$$K(i|x) \leq \log(C_0(f))$$

$$K(j|y) \leq \log(C_1(f))$$

$$\frac{1}{\sum_{i: x_i \neq y_i} \sqrt{2^{-K(i|x, A) - K(i|y, A)}}} \leq \sqrt{nC_0(f)}, \sqrt{nC_1(f)}$$

$$\frac{1}{\sum_{i: x_i \neq y_i} \sqrt{2^{-K(i|x, A) - K(i|y, A)}}} \leq \sqrt{C_0(f)C_1(f)}$$

Summary of results

- New framework to prove lower bounds in query complexity.
- Unified proofs for quantum and randomized lower bounds.
- Generalizes previous adversary methods.
- Applies to boolean as well as non-boolean functions.
- Easy-to-prove limits of adversary methods in terms of certificate complexity.

Directions for future work

- Lower bounds for bounded rounds (adaptive vs nonadaptive queries).
- Similar techniques involving $K(i|x)$ may apply to other models, such as communication complexity, time/space tradeoffs.
- Quantum Kolmogorov complexity might be necessary to handle these models.