# Tamari lattice, Intervals, and Enumeration



Journées du GDR-IM, Lyon. 2013

## Introduction

### Some classical combinatorial objects



• There are 
$$\operatorname{Cat}(n) = \frac{1}{n+1} \begin{pmatrix} 2n \\ n \end{pmatrix}$$
 proof later)

such objects (Catalan numbers –

### Some classical combinatorial objects



• There are 
$$\operatorname{Cat}(n) = \frac{1}{n+1} \binom{2n}{n}$$
 proof later)

such objects (Catalan numbers –

### The Tamari lattice

• In 1962, Tamari defines a partial order on parentheses expressions whose covering relation is given by elementary flips.



### The Tamari lattice

• In 1962, Tamari defines a partial order on parentheses expressions whose covering relation is given by elementary flips.



### The Tamari lattice

• In 1962, Tamari defines a partial order on parentheses expressions whose covering relation is given by elementary flips.



- This partial order is a lattice (i.e. there is a notion of sup and inf)
- The Tamari lattice was born and had a great future ahead of it...

### The Tamari lattice (pictures)





### About the Tamari lattice...

• The Hasse diagram of the Tamari lattice is the graph of a polytope called the associahedron. It is studied by combinatorial geometers.



- In algebraic combinatorics the Tamari lattice is an example of Cambrian lattice underlying the combinatorial structure of Coxeter groups.
- More recently the Tamari lattice was studied in enumerative combinatorics. It has extraordinary enumerative properties...

### **Enumeration in the Tamari lattice**

• We have seen that the number of Dyck paths is  $\operatorname{Cat}(n) = \frac{1}{n+1} \binom{2n}{n}$ 

### **Enumeration in the Tamari lattice**

• We have seen that the number of Dyck paths is  $Cat(n) = \frac{1}{n+1} \binom{2n}{n}$ 



### **Enumeration in the Tamari lattice**

• We have seen that the number of Dyck paths is  $Cat(n) = \frac{1}{n+1} \binom{2n}{n}$ 



### Plan of the talk...

- **1.** I will explain where this comes from (non-linear catalytic equation)
- 2. I'll mention our new results and the kind of new equations we solved
- 3. Give some comments and perspectives

# Part I: An equation with a catalytic variable

[Chapoton 06] [Bousquet-Mélou, Fusy, Préville-Ratelle 12]

• The class  $\mathcal{T}$  of binary trees is defined by the formula

 $\mathcal{T} = \varnothing + \mathcal{T}$ 

• The class  $\mathcal{T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T} \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1,$$
  $a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$ 

• The class  $\mathcal{T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T} \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1,$$
  $a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$ 

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of

$$T(t) = 1 + tT(t)^2$$

• The class  $\mathcal{T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T} \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1, \qquad a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$$

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of  $T(t) = 1 + tT(t)^2$ 

This is a polynomial equation. Solution:  $T(t) = \frac{1-\sqrt{1-4t}}{2t}$ 

$$\implies a_n = \text{coeff. of } t^n \text{ in } T(t) = \frac{1}{2} \binom{1/2}{n+1} = \frac{1}{n+1} \binom{2n}{n}.$$

• The class  ${\mathcal T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1,$$
  $a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$ 

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of  $T(t) = 1 + tT(t)^2$ 

This is a polynomial equation. Solution:  $T(t) = \frac{1-\sqrt{1-4t}}{2t}$ 

$$\implies a_n = \text{coeff. of } t^n \text{ in } T(t) = \frac{1}{2} \binom{1/2}{n+1} = \frac{1}{n+1} \binom{2n}{n}.$$

 $\bullet$  The class  ${\mathcal T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1, \qquad a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$$

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of  $T(t) = 1 + tT(t)^2$ 

This is a polynomial equation. Solution:  $T(t) = \frac{1-\sqrt{1-4t}}{2t}$ 

$$\implies a_n = \text{coeff. of } t^n \text{ in } T(t) = \frac{1}{2} \binom{1/2}{n+1} = \frac{1}{n+1} \binom{2n}{n}.$$

 $\bullet$  The class  ${\mathcal T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1,$$
  $a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$ 

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of

$$T(t) = 1 + tT(t)^2$$

This is a polynomial equation. Solution  $T(t) = \frac{1-\sqrt{1-4t}}{2t}$ 

$$\implies a_n = \text{coeff. of } t^n \text{ in } T(t) = \frac{1}{2} \binom{1/2}{n+1} = \frac{1}{n+1} \binom{2n}{n}.$$

 $\bullet$  The class  ${\mathcal T}$  of binary trees is defined by the formula

$$\mathcal{T} = \varnothing + \mathcal{T}$$

Consequence: the number  $a_n$  of binary trees with n vertices is solution of

$$a_0 = 1,$$
  $a_{n+1} = \sum_{k=0}^n a_k a_{n-k}.$ 

Better: the generating function  $T(t) = \sum_{n=0}^{\infty} a_n t^n$  is solution of

$$T(t) = 1 + tT(t)^2$$

This is a polynomial equation. Solution  $T(t) = \frac{1-\sqrt{1-4t}}{2t}$ 

$$\implies a_n = \text{coeff. of } t^n \text{ in } T(t) = \frac{1}{2} \binom{1/2}{n+1} = \frac{1}{n+1} \binom{2n}{n}.$$

• Recursive specification of the set of binary trees using  $\boxplus$  and  $\times$ 

$$\mathcal{T} = \varnothing + \mathcal{T} \mathcal{T}$$

• Recursive specification of the set of binary trees using  $\boxplus$  and  $\times$ 

$$\mathcal{T} = \{ \varnothing \} \uplus (\{ ullet \} imes \mathcal{T} imes \mathcal{T} )$$

• Operators on sets map to operators on generating functions

• Recursive specification of the set of binary trees using  $\boxplus$  and  $\times$ 

$$\mathcal{T} = \{ \varnothing \} \uplus \left( \{ \bullet \} \times \mathcal{T} \times \mathcal{T} \right)$$

• Operators on sets map to operators on generating functions

• Recursive specification of the set of binary trees using  $\boxplus$  and  $\times$ 

$$\mathcal{T} = \{ \varnothing \} \uplus \left( \{ \bullet \} \times \mathcal{T} \times \mathcal{T} \right)$$

• Operators on sets map to operators on generating functions

$$\begin{array}{c} \textcircled{} & \longrightarrow + \\ \times \longrightarrow \times \end{array} \qquad T(t) = 1 + tT(t)^2$$

• This is a polynomial equation. This is a well known class of equations and from there one can prove that  $a_n = \frac{1}{n+1} \binom{2n}{n}$  in various ways.

• Recursive specification of the set of binary trees using  $\boxplus$  and imes

$$\mathcal{T} = \{ \varnothing \} \uplus \left( \{ \bullet \} \times \mathcal{T} \times \mathcal{T} \right)$$

• Operators on sets map to operators on generating functions

$$\stackrel{\tiny ( \Downarrow ) \to + }{\times \longrightarrow \times} \qquad T(t) = 1 + tT(t)^2$$

• This is a polynomial equation. This is a well known class of equations and from there one can prove that  $a_n = \frac{1}{n+1} \binom{2n}{n}$  in various ways.

Main point of the talk and active subject of research: In combinatorics there are other operators than  $\textcircled$  and  $\times$  that lead to other classes of equations. We would like to be as good with them as we are with polynomial equations. In this talk: equations with catalytic variables.













Fact: We have a recursive decomposition of Tamari intervals.



... this is a bijection!



#### Generating functions

$$F_i(t) := \sum_{n \ge 0} a_{n,i} t^n$$

$$F(t; \boldsymbol{x}) =: \sum_{i \ge 1} F_i(t) \boldsymbol{x}^i$$

where  $a_{n,i} = nb$  of intervals of size n with i zeros in the lower path.



Generating functions  

$$F_i(t) := \sum_{n \ge 0} a_{n,i} t^n$$

$$F(t; x) = x + t \sum_{i \ge 1} \left( x + x^2 + \dots + x^i \right) F_i(t) F(t, x)$$

where  $a_{n,i} = nb$  of intervals of size n with i zeros in the lower path.

 $F(t; \boldsymbol{x}) =: \sum_{i \geq 1} F_i(t) \boldsymbol{x}^i$ 



Generating functions

$$F_i(t) := \sum_{n \ge 0} a_{n,i} t^n$$

$$F(t; \boldsymbol{x}) =: \sum_{\boldsymbol{i} \ge 1} F_{\boldsymbol{i}}(t) \boldsymbol{x}^{\boldsymbol{i}}$$

where  $a_{n,i} = nb$  of intervals of size n with i zeros in the lower path.

$$F(t;x) = x + t \sum_{i \ge 1} \left( x + x^2 + \dots + x^i \right) F_i(t) F(t,x)$$
  
=  $x + tx \sum_{i \ge 1} \frac{x^i - 1}{x - 1} F_i(t) F(t,x)$   
=  $x + tx \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$ 

path.



Generating functions  $F(t;x) = x + t \sum_{i \ge 1} (x + x^2 + \dots + x^i) F_i(t) F(t,x)$  $F_i(t) := \sum a_{n,i} t^n$  $n \ge 0$  $= x + tx \sum_{i>1} \frac{x^{i} - 1}{x - 1} F_{i}(t) F(t, x)$  $F(t; \boldsymbol{x}) =: \sum_{i \geq 1} F_i(t) \boldsymbol{x}^i$ where  $a_{n,i} = \mathsf{nb}$  of  $= x + x \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$ intervals of size n with *i* zeros in the lower

$$F(t,x) = x + tx \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$$

• This is a polynomial equation with one catalytic variable, i.e. it involves the operators +,  $\times$  and  $\Delta : A \mapsto \frac{A - A_{|x=1}}{x-1}$ .

$$F(t,x) = x + tx \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$$

- This is a polynomial equation with one catalytic variable, i.e. it involves the operators +,  $\times$  and  $\Delta : A \mapsto \frac{A A_{|x=1}}{x-1}$ .
- There is a theory for that coming from map enumeration, going back to Knuth and Tutte.
- Exemples of solving techniques:
  - prehistory (Tutte): guess F(t, 1), solve for F(t, x), and check the value at x = 1.

• 21st century [Bousquet-Mélou/Jehanne]: general theorem, the solution is an algebraic function, and there is an algorithm to find it that you can run on (say) Maple.

An version of the algorithm [Brown, Tutte, 1960's]

$$F(t,x) = x + tx \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$$

• Write this equation P(F, f, x, t) = 0 with f = F(t, 1) and F = F(t, x)

### An version of the algorithm [Brown, Tutte, 1960's]

$$F(t,x) = x + tx \frac{F(t,x) - F(t,1)}{x - 1} F(t,x)$$

- Write this equation P(F, f, x, t) = 0 with f = F(t, 1) and F = F(t, x)
- Force x to live on a special "curve" x = x(t) by adding the equation  $P'_F(F, f, x, t) = 0$ .
- Then we also have that  $P'_x(F, f, x, t) = 0$ .

• Solve the system  $\begin{cases} P(F,f,x,t) &= 0\\ P'_F(F,f,x,t) &= 0\\ P'_x(F,f,x,t) &= 0 \end{cases}$ 

for the 3 unknowns F = F(t, x), f = F(t, 1), x = x(t).

[Bousquet-Mélou-Jehanne 04] say that this always works (actually a far reaching generalization of this...)

Part II: Labelled Dyck paths and intervals





• Number of labelled Dyck paths =  $(n+1)^{n-1}$ 



• Number of labelled Dyck paths =  $(n+1)^{n-1}$ 



• Number of labelled Dyck paths =  $(n+1)^{n-1}$ 

• Refinement: Let  $\sigma \in \mathfrak{S}_n$  be a permutation. Then the number of labelled Dyck paths whose rise-partition is stable by  $\sigma$  is  $(n+1)^{k-1}$  where  $k = \# \operatorname{cycles}(\sigma)$ .

### Labelled Tamari intervals: Bergeron's conjectures



### Labelled Tamari intervals: Bergeron's conjectures



#### **Theorem** [Bousquet-Mélou, C., Préville-Ratelle 2011]

The number of labelled Tamari intervals is  $2^n(n+1)^{n-2}$ 

Refinement: Let  $\sigma \in \mathfrak{S}_n$  be a permutation. Then the number of labelled Tamari intervals whose rise-partition is stable by  $\sigma$  is

$$(n+1)^{k-2} \prod_{i \ge 1} \binom{2i}{i}^{\alpha_i}$$

if  $\sigma$  has  $\alpha_i$  cycles of length i for  $i\geq 1$ 

and k cycles in total

### The decomposition for LABELLED intervals



- The number of labellings of a Dyck path depends on the lengths of the rises.
- Our recursive decomposition does not change the lengths of rises... except for the first one!

### The decomposition for LABELLED intervals



• The number of labellings of a Dyck path depends on the lengths of the rises.

• Our recursive decomposition does not change the lengths of rises... except for the first one!

### The decomposition for LABELLED intervals



• The number of labellings of a Dyck path depends on the lengths of the rises.

• Our recursive decomposition does not change the lengths of rises... except for the first one!

• We introduce a new variable y for first rise of Q.

$$\frac{\partial}{\partial y}F(t, x, y) = x + tx\frac{F(t, x; y) - F(t, 1; y)}{x - 1}F(t, x; 1)$$

since:  $\frac{\partial}{\partial y}y^k = ky^{k-1}$  $\rightarrow$  the factor  $k = \frac{k!}{(k-1)!}$  compensates the change of the first rise

### What about LABELLED intervals (II)

$$\frac{\partial}{\partial y}F(t, x, y) = x + tx\frac{F(t, x; y) - F(t, 1; y)}{x - 1}F(t, x; 1)$$

• Never seen such an equation (two catalytic variables, one "standard", one "differential").

### What about LABELLED intervals (II)

$$\frac{\partial}{\partial y}F(t, x, y) = x + tx\frac{F(t, x; y) - F(t, 1; y)}{x - 1}F(t, x; 1)$$

- Never seen such an equation (two catalytic variables, one "standard", one "differential").
- Go back to prehistory:
  - 1. guess F(t, x, 1) ("only" 2 variables).
  - 2. use the symmetries of the equation to eliminate F(t,1;y)
  - 3. solve the differential equation
  - 4. reconstitute F(t, x, y) and check the value at y = 1

# Part III: comments

### Why we are interested in all this

**Theorem** [Bousquet-Mélou, C., Préville-Ratelle 2011]

The number of labelled Tamari intervals is  $2^n(n+1)^{n-2}$ 

Refinement: Let  $\sigma \in \mathfrak{S}_n$  be a permutation. Then the number of labelled Tamari intervals whose rise-partition is stable by  $\sigma$  is

 $(n+1)^{k-2} \prod_{i \ge 1} \binom{2i}{i}^{\sim i}$  if  $\sigma$  has  $\alpha_i$  cycles of length i for  $i \ge 1$  and k cycles in total

• Original motivation: algebraists believe that this formula is the character of the trivariate coinvariant module over  $\mathfrak{S}_n$ . (very hard conjecture!)

• Our proof is extremely technical but contains ideas hidden behind piles of details. We don't fully understand why it worked but we hope that this will open the way to a general theory.

• There is a generalization of everything to the m-Tamari lattice and it is harder and even more technical.

• A planar map is a planar graph drawn on the plane.



• A planar map is a planar graph drawn on the plane.



• 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]

• A planar map is a planar graph drawn on the plane.



• 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]

• 1960-1990's many variants discovered with similar techniques [Tutte, Brown, Bender, Canfield.... the techniques get stronger]

• A planar map is a planar graph drawn on the plane.



• 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]

- 1960-1990's many variants discovered with similar techniques [Tutte, Brown, Bender, Canfield.... the techniques get stronger]
- 2004 theory + algorithms for these equations.
   [Bousquet-Mélou, Jehanne]

• A planar map is a planar graph drawn on the plane.



- 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]
- 1960-1990's many variants discovered with similar techniques [Tutte, Brown, Bender, Canfield.... the techniques get stronger]
- 2004 theory + algorithms for these equations.
   [Bousquet-Mélou, Jehanne]
- 1998 and 2000's BIJECTIVE PROOFS of these formulas [Schaeffer, Bouttier, Di Francesco, Guitter]
  - Planar maps reveal their true structure via nice tree-decompositions The theory of random planar maps becomes extremely rich and active Many applications to theoretical physics and probability theory...

• A planar map is a planar graph drawn on the plane.



on en

est là...

• 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]

- 1960-1990's many variants discovered with similar techniques [Tutte, Brown, Bender, Canfield.... the techniques get stronger]
- 2004 theory + algorithms for these equations.
   [Bousquet-Mélou, Jehanne]
- 1998 and 2000's BIJECTIVE PROOFS of these formulas [Schaeffer, Bouttier, Di Francesco, Guitter]

Planar maps reveal their true structure via nice tree-decompositions The theory of random planar maps becomes extremely rich and active Many applications to theoretical physics and probability theory...





• 1960: the number of planar maps with n edges is  $\frac{2 \cdot 3^n}{n+2} \operatorname{Cat}(n)$ . [Tutte via the first catalytic equation solved with prehistorical techniques]

• 1960-1990's many variants discovered with similar techniques [Tutte, Brown, Bender, Canfield.... the techniques get stronger]

2004 theory + algorithms for these equations.

Bousquet-Mélou, Jehanne

1998 and 2000's BIJECTIVE PROOFS of these formulas

Schaetter, Bouttier, Di Francesco, Guitter

Planar maps reveal their true structure via nice tree-decompositions The theory of random planar maps becomes extremely rich and active Many applications to theoretical physics and probability theory...

#### Merci !